



# AIA<sup>®</sup> Document B101<sup>™</sup> – 2017

## Standard Form of Agreement Between Owner and Architect

**AGREEMENT** made as of the    day of    in the year 2021  
*(In words, indicate day, month and year.)*

**BETWEEN** the Architect's client identified as the Owner:  
*(Name, legal status, address and other information)*

Hennepin Healthcare System, Inc.  
a public subsidiary corporation of Hennepin County doing business as  
Medical Center and Hennepin Healthcare  
701 Park Avenue South  
Minneapolis, Minnesota 55415

and the Architect:  
*(Name, legal status, address and other information)*

for the following Project:  
*(Name, location and detailed description)*

HCMC PURPLE RAMP EXPANSION  
Construction Modification of HCMC Purple Parking Ramp and Skyway connectors

The Owner and Architect agree as follows.

### **ADDITIONS AND DELETIONS:**

The author of this document has added information needed for its completion. The author may also have revised the text of the original AIA standard form. An *Additions and Deletions Report* that notes added information as well as revisions to the standard form text is available from the author and should be reviewed. A vertical line in the left margin of this document indicates where the author has added necessary information and where the author has added to or deleted from the original AIA text.

This document has important legal consequences. Consultation with an attorney is encouraged with respect to its completion or modification.

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### ARTICLE 1 INITIAL INFORMATION

§ 1.1 This Agreement is based on the Project Description, Scope of Services, and other architectural services as HHS RFP 21-006, which are attached hereto and incorporated by reference.

*(Paragraphs deleted)*

### ARTICLE 2 ARCHITECT'S RESPONSIBILITIES

§ 2.1 The Architect shall provide professional services as set forth in this Agreement. The Architect represents that it is properly licensed in the jurisdiction where the Project is located to provide the services required by this Agreement, or shall cause such services to be performed by appropriately licensed design professionals.

§ 2.2 The Architect shall perform its services consistent with the professional skill and care ordinarily provided by architects practicing in the same or similar locality under the same or similar circumstances. The Architect shall perform its services as expeditiously as is consistent with such professional skill and care and the orderly progress of the Project.

§ 2.3 The Architect shall identify a representative authorized to act on behalf of the Architect with respect to the Project.

§ 2.4 Except with the Owner's knowledge and consent, the Architect shall not engage in any activity, or accept any employment, interest or contribution that would reasonably appear to compromise the Architect's professional judgment with respect to this Project. When selecting or listing preferred or sole source products, or authorizing a substitution, for this Project, the Architect and its consultants shall not solicit or accept any payments, gifts, goods (except samples for evaluation), or any special favors, directly or indirectly, from a contractor, subcontractor, supplier, their agents or employees.

§ 2.5 The Architect shall maintain the following insurance until termination of this Agreement. The requirements set forth below establish minimum insurance requirements. It is the sole responsibility of the

Architect to determine the need for and to procure additional insurance which may be needed in connection with this Agreement.

**§ 2.5.1**

Commercial General Liability on an occurrence basis with the following coverages and policy limits (Contractual Liability coverage and Explosion, Collapse, and Underground Property Damage (XCU) coverage must be included): General Aggregate: \$2,000,000; Products – Completed Operations Aggregate: \$2,000,000; Personal and Advertising Injury: \$1,500,000; Each Occurrence – Combined Bodily Injury and Property Damage: \$1,500,000.

**§ 2.5.2** Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Architect with policy limits of not less than (\$ 1,500,000) per accident for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles, along with any other statutorily required automobile coverage.

**§ 2.5.3** The Architect may achieve the required limits and coverage for Commercial General Liability and Automobile Liability through a combination of primary and excess or umbrella liability insurance, provided such primary and excess or umbrella liability insurance policies result in the same or greater coverage as the coverages required under Sections 2.5.1 and 2.5.2, and in no event shall any excess or umbrella liability insurance provide narrower coverage than the primary policy. The excess policy shall not require the exhaustion of the underlying limits only through the actual payment by the underlying insurers.

**§ 2.5.4** Workers' Compensation at statutory limits.

**§ 2.5.5** Employers' Liability with policy limits not less than Bodily injury by: Accident – Each Accident: \$500,000; Disease – Policy Limit: \$500,000; Disease – Each Employee: \$500,000.

**§ 2.5.6** Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than (\$ 1,500,000) per claim and (\$ 2,000,000) in the aggregate.

**§ 2.5.7 Additional Insured Obligations.** The Architect shall not commence work until it has obtained required insurance and filed an acceptable Certificate of Insurance with the Owner. The Certificate shall (a) name Hennepin County and HHS as certificate holder(s) and as additional insureds with respect to operations covered under the contract for all liability insurance coverages except Workers' Compensation and Employer's Liability and Professional Liability; and (b) be amended to show that HHS will receive thirty (30) days' prior written notice in the event of cancellation, nonrenewal, or material change in any described policies. The additional insured coverage shall be primary and non-contributory to any of the Owner's insurance policies and shall apply to both ongoing and completed operations.

**§ 2.5.8** The Architect shall require that consultants engaged or employed by it have and keep in force the same insurance coverages with reasonable prudent limits considering the services to be rendered, and furnish a Certificate of Insurance to the Architect naming it as certificate holder and as an additional insured. The Architect shall be entirely responsible for the adequacy of the insurance and securing the compliance of all such consultants.

**§ 2.5.9** Copies of policies shall be submitted to the Owner upon written request.

**ARTICLE 3 SCOPE OF ARCHITECT'S BASIC SERVICES**

**§ 3.1** The Architect's Basic Services consist of those described in this Article 3 and include usual and customary structural, mechanical, and electrical engineering services. Services not set forth in this Article 3 or in § 12.5 are Supplemental or Additional Services.

**§ 3.1.1** The Architect shall manage the Architect's services, research applicable design criteria, attend Project meetings, communicate with members of the Project team, and report progress to the Owner.

**§ 3.1.2** The Architect shall coordinate its services with those services provided by the Owner and the Owner's consultants. The Architect shall be entitled to rely on, and shall not be responsible for, the accuracy, completeness, and timeliness of, services and information furnished by the Owner and the Owner's consultants. The Architect shall provide prompt written notice to the Owner if the Architect becomes aware of any error, omission, or inconsistency in such services or information.

§ 3.1.3 As soon as practicable after the date of this Agreement, the Architect shall submit for the Owner's approval a schedule for the performance of the Architect's services. The schedule initially shall include anticipated dates for the commencement of construction and for Substantial Completion of the Work as set forth in the Initial Information. The schedule shall include allowances for periods of time required for the Owner's review, for the performance of the Owner's consultants, and for approval of submissions by authorities having jurisdiction over the Project. Once approved by the Owner, time limits established by the schedule shall not, except for reasonable cause, be exceeded by the Architect or Owner. With the Owner's approval, the Architect shall adjust the schedule, if necessary, as the Project proceeds until the commencement of construction.

§ 3.1.4 The Architect shall not be responsible for an Owner's directive or substitution, or for the Owner's acceptance of non-conforming Work, if the Architect objects in writing within seven (7) days of the Owner's directive or substitution or acceptance of non-conforming Work.

§ 3.1.5 The Architect shall contact governmental authorities required to approve the Construction Documents and entities providing utility services to the Project. The Architect shall respond to applicable design requirements imposed by those authorities and entities.

§ 3.1.6 The Architect shall assist the Owner in connection with the Owner's responsibility for filing documents required for the approval of governmental authorities having jurisdiction over the Project.

§ 3.1.7 Owner shall have the right to disapprove any portion of the Architect's services on the Project, including, but not limited to, programming and preliminary design, Schematic Design, Design Development, Construction Documents, Bidding or Negotiation, or Construction Phase Services, and any other design work or documents, on any reasonable basis, including, but not limited to, aesthetics, or because in the Owner's opinion, the Construction Cost of such design is likely to render such work or the Project infeasible. In the event that any phase of the Architect's work is not approved by the Owner, the Architect shall proceed, when requested by the Owner, with revisions to the design work or documents prepared for that phase to attempt to satisfy Owner's objections. These revisions will be made without adjustments to the compensation provided for hereunder, unless revisions are made to drawings previously approved under previous phases, in which case such revision services shall be paid as Additional Services. Should there be substantial revisions to the original program after approval of schematic drawings, which changes substantially increase the scope of design services to be furnished hereunder, Architect shall so notify Owner in writing and receive approval from Owner, before proceeding with revisions necessitated by such changes. No payment of any nature whatsoever, will be made to Architect, for Additional Services, without such written approval by the Owner.

§ 3.1.8 Upon Owner's request at any time during the Design or Construction Phases of this Agreement and as often as so requested, Architect shall promptly provide Owner with progress prints. Owner shall at all times have reasonable access to the files and personnel of Architect relating to the Project in order to answer any reasonable questions Owner may have relating to the Architect's performance on the Project.

### § 3.2 Schematic Design Phase Services

§ 3.2.1 The Architect shall review the program and other information furnished by the Owner to ascertain the requirements of the Project and shall interpret and translate such requirements into a written Architectural Building Program Statement which shall be acceptable to the Owner. The Architect shall review laws, codes, and regulations applicable to the Architect's services.

§ 3.2.2 The Architect shall prepare a preliminary evaluation of the Owner's program, schedule, budget for the Cost of the Work, Project site, the proposed procurement and delivery method, and other Initial Information, each in terms of the other, to ascertain the requirements of the Project. The Architect shall notify the Owner of (1) any inconsistencies discovered in the information, and (2) other information or consulting services that may be reasonably needed for the Project.

§ 3.2.3 The Architect shall present its preliminary evaluation to the Owner and shall discuss with the Owner alternative approaches to design and construction of the Project, including the feasibility of incorporating environmentally responsible design approaches. The Architect shall reach an understanding with the Owner regarding the requirements of the Project.

§ 3.2.4 Based on the Project requirements agreed upon with the Owner, the Architect shall prepare and present, for the Owner's approval, a preliminary design illustrating the scale and relationship of the Project components.

§ 3.2.5 Based on the Owner's approval of the preliminary design, the Architect shall prepare Schematic Design Documents for the Owner's approval. The Schematic Design Documents shall consist of drawings and other documents including a site plan, if appropriate, and preliminary building plans, sections and elevations, and may include some combination of study models, perspective sketches, or digital representations. Preliminary selections of major building systems and construction materials shall be noted on the drawings or described in writing. Preliminary selections of major building systems and construction materials shall be noted on the drawings or described in writing.

§ 3.2.5.1 The Architect shall consider sustainable design alternatives, such as material choices and building orientation, together with other considerations based on program and aesthetics, in developing a design that is consistent with the Owner's program, schedule and budget for the Cost of the Work. The Owner may obtain more advanced sustainable design services as a Supplemental Service under Section 4.1.1.

§ 3.2.5.2 The Architect shall consider the value of alternative materials, building systems and equipment, together with other considerations based on program and aesthetics, in developing a design for the Project that is consistent with the Owner's program, schedule, and budget for the Cost of the Work.

§ 3.2.6 The Architect shall submit to the Owner an estimate of the Cost of the Work prepared in accordance with Section 6.3.

§ 3.2.7 The Architect shall submit the Schematic Design Documents to the Owner, and request the Owner's approval.

### § 3.3 Design Development Phase Services

§ 3.3.1 Based on the Owner's approval of the Schematic Design Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Design Development Documents for the Owner's approval. The Design Development Documents shall illustrate and describe the development of the approved Schematic Design Documents and shall consist of drawings and other documents including plans, sections, elevations, typical construction details, and diagrammatic layouts of building systems to fix and describe the size and character of the Project as to architectural, structural, mechanical and electrical systems, and other appropriate elements. The Design Development Documents shall also include outline specifications that identify major materials and systems and establish, in general, their quality levels.

§ 3.3.2 The Architect shall update the estimate of the Cost of the Work prepared in accordance with Section 6.3.

§ 3.3.3 The Architect shall submit the Design Development Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, and request the Owner's approval.

### § 3.4 Construction Documents Phase Services

§ 3.4.1 Based on the Owner's approval of the Design Development Documents, and on the Owner's authorization of any adjustments in the Project requirements and the budget for the Cost of the Work, the Architect shall prepare Construction Documents for the Owner's approval. The Construction Documents shall illustrate and describe the further development of the approved Design Development Documents and shall consist of Drawings and Specifications setting forth in detail the quality levels and performance criteria of materials and systems and other requirements for the construction of the Work. The Owner and Architect acknowledge that, in order to perform the Work, the Contractor will provide additional information, including Shop Drawings, Product Data, Samples and other similar submittals, which the Architect shall review in accordance with Section 3.6.4.

§ 3.4.2 The Architect shall incorporate the design requirements of governmental authorities having jurisdiction over the Project into the Construction Documents.

§ 3.4.3 During the development of the Construction Documents, the Architect shall assist the Owner in the development and preparation of (1) procurement information that describes the time, place, and conditions of bidding, including bidding or proposal forms; (2) the form of agreement between the Owner and Contractor; and (3) the Conditions of the Contract for Construction (General, Supplementary and other Conditions). The Architect shall also



compile a project manual that includes the Conditions of the Contract for Construction and Specifications, and may include bidding requirements and sample forms.

§ 3.4.4 The Architect shall update the estimate for the Cost of the Work prepared in accordance with Section 6.3.

§ 3.4.5 The Architect shall submit the Construction Documents to the Owner, advise the Owner of any adjustments to the estimate of the Cost of the Work, take any action required under Section 6.5, and request the Owner's approval.

## § 3.5 Procurement Phase Services

### § 3.5.1 General

The Architect shall assist the Owner in establishing a list of prospective contractors. Following the Owner's approval of the Construction Documents, the Architect shall assist the Owner in (1) obtaining either competitive bids or negotiated proposals; (2) confirming responsiveness of bids or proposals; (3) determining the successful bid or proposal, if any; and, (4) awarding and preparing contracts for construction.

### § 3.5.2 Competitive Bidding

§ 3.5.2.1 Bidding Documents shall consist of bidding requirements and proposed Contract Documents.

§ 3.5.2.2 The Architect shall assist the Owner in bidding the Project by:

- .1 facilitating the distribution of Bidding Documents to prospective bidders;
- .2 organizing and conducting a pre-bid conference for prospective bidders;
- .3 preparing responses to questions from prospective bidders and providing clarifications and interpretations of the Bidding Documents to the prospective bidders in the form of addenda; and,
- .4 organizing and conducting the opening of the bids, and subsequently documenting and distributing the bidding results, as directed by the Owner.

§ 3.5.2.3 If the Bidding Documents permit substitutions, upon the Owner's written authorization, the Architect shall, as an Additional Service, consider requests for substitutions and prepare and distribute addenda identifying approved substitutions to all prospective bidders.

### § 3.5.3 Negotiated Proposals

§ 3.5.3.1 Proposal Documents shall consist of proposal requirements and proposed Contract Documents.

§ 3.5.3.2 The Architect shall assist the Owner in obtaining proposals by:

- .1 facilitating the distribution of Proposal Documents for distribution to prospective contractors and requesting their return upon completion of the negotiation process;
- .2 organizing and participating in selection interviews with prospective contractors;
- .3 preparing responses to questions from prospective contractors and providing clarifications and interpretations of the Proposal Documents to the prospective contractors in the form of addenda; and,
- .4 participating in negotiations with prospective contractors, and subsequently preparing a summary report of the negotiation results, as directed by the Owner.

§ 3.5.3.3 If the Proposal Documents permit substitutions, upon the Owner's written authorization, the Architect shall, as an Additional Service, consider requests for substitutions and prepare and distribute addenda identifying approved substitutions to all prospective contractors.

## § 3.6 Construction Phase Services

### § 3.6.1 General

§ 3.6.1.1 The Architect shall provide administration of the Contract between the Owner and the Contractor as set forth below and in an Owner modified AIA Document A201™-2017, General Conditions of the Contract for Construction.

§ 3.6.1.2 The Architect shall advise and consult with the Owner during the Construction Phase Services. The Architect shall have authority to act on behalf of the Owner only to the extent provided in this Agreement. The Architect shall not have control over, charge of, or responsibility for the construction means, methods, techniques, sequences or procedures, or for safety precautions and programs in connection with the Work, nor shall the Architect be responsible for the Contractor's failure to perform the Work in accordance with the requirements of the Contract Documents. The Architect shall be responsible for the Architect's negligent acts or omissions, but shall not have control over or charge

of, and shall not be responsible for, acts or omissions of the Contractor or of any other persons or entities performing portions of the Work.

§ 3.6.1.3 Subject to Section 4.2 and except as provided in Section 3.6.6.5, the Architect's responsibility to provide Construction Phase Services commences with the award of the Contract for Construction and terminates on the date the Architect issues the final Certificate for Payment.

### § 3.6.2 Evaluations of the Work

§ 3.6.2.1 The Architect shall visit the site at intervals appropriate to the stage of construction, or as otherwise required in Section 4.2.3, to become generally familiar with the progress and quality of the portion of the Work completed, and to determine, in general, if the Work observed is being performed in a manner indicating that the Work, when fully completed, will be in accordance with the Contract Documents. However, the Architect shall not be required to make exhaustive or continuous on-site inspections to check the quality or quantity of the Work. On the basis of the site visits, the Architect shall keep the Owner reasonably informed about the progress and quality of the portion of the Work completed, and promptly report to the Owner (1) known deviations from the Contract Documents, (2) known deviations from the most recent construction schedule submitted by the Contractor, and (3) defects and deficiencies observed in the Work.

§ 3.6.2.2 The Architect has the authority to reject Work that does not conform to the Contract Documents. Whenever the Architect considers it necessary or advisable, the Architect shall have the authority to require inspection or testing of the Work in accordance with the provisions of the Contract Documents, whether or not the Work is fabricated, installed or completed. However, neither this authority of the Architect nor a decision made in good faith either to exercise or not to exercise such authority shall give rise to a duty or responsibility of the Architect to the Contractor, Subcontractors, suppliers, their agents or employees, or other persons or entities performing portions of the Work.

§ 3.6.2.3 The Architect shall interpret and decide matters concerning performance under, and requirements of, the Contract Documents on written request of either the Owner or Contractor. The Architect's response to such requests shall be made in writing within any time limits agreed upon or otherwise with reasonable promptness.

§ 3.6.2.4 Interpretations and decisions of the Architect shall be consistent with the intent of, and reasonably inferable from, the Contract Documents and shall be in writing or in the form of drawings. When making such interpretations and decisions, the Architect shall endeavor to secure faithful performance by both Owner and Contractor, shall not show partiality to either, and shall not be liable for results of interpretations or decisions rendered in good faith and in accordance with Section 2.2.

### § 3.6.2.5

The Architect shall render initial decisions on Claims between the Owner and Contractor as provided in the Contract Documents.

### § 3.6.3 Certificates for Payment to Contractor

§ 3.6.3.1 The Architect shall review and certify the amounts due the Contractor and shall issue certificates in such amounts. The Architect's certification for payment shall constitute a representation to the Owner, based on the Architect's evaluation of the Work as provided in Section 3.6.2 and on the data comprising the Contractor's Application for Payment, that, to the best of the Architect's knowledge, information and belief, the Work has progressed to the point indicated, the quality of the Work is in accordance with the Contract Documents, and that the Contractor is entitled to payment in the amount certified. The foregoing representations are subject to (1) an evaluation of the Work for conformance with the Contract Documents upon Substantial Completion, (2) results of subsequent tests and inspections, (3) correction of minor deviations from the Contract Documents prior to completion, and (4) specific qualifications expressed by the Architect.

§ 3.6.3.2 The issuance of a Certificate for Payment shall not be a representation that the Architect has (1) made exhaustive or continuous on-site inspections to check the quality or quantity of the Work, (2) reviewed construction means, methods, techniques, sequences or procedures, (3) reviewed copies of requisitions received from Subcontractors and suppliers and other data requested by the Owner to substantiate the Contractor's right to payment, or (4) ascertained how or for what purpose the Contractor has used money previously paid on account of the Contract Sum.

§ 3.6.3.3 The Architect shall maintain a record of the Applications and Certificates for Payment.

#### § 3.6.4 Submittals

§ 3.6.4.1 The Architect shall review the Contractor's submittal schedule and shall not unreasonably delay or withhold approval of the schedule. The Architect's action in reviewing submittals shall be taken in accordance with the approved submittal schedule or, in the absence of an approved submittal schedule, with reasonable promptness while allowing sufficient time, in the Architect's professional judgment, to permit adequate review.

§ 3.6.4.2 The Architect shall review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and Samples for the purpose of (1) determining, through the exercise of professional care, compliance with applicable laws, statutes, ordinances, codes, orders, rules, and regulations; and (2) determining, through the exercise of professional care, that the Work affected by and represented by such submittals is in compliance with the requirements of the Contract Documents. The Architect shall be responsible for determining what aspects of the Work shall be the subject of such submittals. The Architect shall not knowingly permit such aspects of the construction work to proceed in the absence of approved submittals, as listed in the Specifications. If the Architect determines an aspect of the Work has been fabricated, installed or completed, for which a submittal is required, the Architect shall immediately request the Contractor produce an appropriate submittal for the Architect's review and notify the Owner that such request was made to the Contractor. The Architect's action shall be taken with such reasonable promptness as to cause no delay in the Work or in the activities of the Owner, Contractor or separate contractors, while allowing sufficient time in the Architect's professional judgment to permit adequate review. Review of such submittals is not for the purpose of determining the accuracy and completeness of other information such as dimensions, quantities, and installation or performance of equipment or systems, which are the Contractor's responsibility. The Architect's review shall not constitute approval of safety precautions or construction means, methods, techniques, sequences or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

§ 3.6.4.3 If the Contract Documents specifically require the Contractor to provide professional design services or certifications by a design professional related to systems, materials, or equipment, the Architect shall specify the appropriate performance and design criteria that such services must satisfy. The Architect shall review and take appropriate action on Shop Drawings and other submittals related to the Work designed or certified by the Contractor's design professional, provided the submittals bear such professional's seal and signature when submitted to the Architect. The Architect's review shall be for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents. The Architect shall be entitled to rely upon, and shall not be responsible for, the adequacy and accuracy of the services, certifications, and approvals performed or provided by such design professionals.

§ 3.6.4.4 The Architect shall review and respond to requests for information about the Contract Documents. The Architect shall set forth, in the Contract Documents, the requirements for requests for information. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific Drawings or Specifications in need of clarification and the nature of the clarification requested. The Architect's response to such requests shall be made in writing within any time limits agreed upon, or otherwise with reasonable promptness. If appropriate, the Architect shall prepare and issue supplemental Drawings and Specifications in response to the requests for information.

§ 3.6.4.5 The Architect shall maintain a record of submittals and copies of submittals supplied by the Contractor in accordance with the requirements of the Contract Documents.

#### § 3.6.5 Changes in the Work

§ 3.6.5.1 The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. Subject to Section 4.2, the Architect shall prepare Change Orders and Construction Change Directives for the Owner's approval and execution in accordance with the Contract Documents. Preparing drawings, specifications and other documentation and supporting data, evaluating the Contractor's proposals, and providing other services in connection with Change Orders and Construction Change Directives, is a Basic Service under this Agreement unless otherwise agreed to in writing by the Owner/Owner's Authorized Representative.



§ 3.6.5.2 The Architect shall maintain records relative to changes in the Work.

### § 3.6.6 Project Completion

§ 3.6.6.1 The Architect shall:

- .1 conduct inspections to determine the date or dates of Substantial Completion and the date of final completion;
- .2 issue Certificates of Substantial Completion;
- .3 forward to the Owner, for the Owner's review and records, written warranties and related documents required by the Contract Documents and received from the Contractor; and,
- .4 issue a final Certificate for Payment based upon a final inspection indicating that, to the best of the Architect's knowledge, information, and belief, the Work complies with the requirements of the Contract Documents.

§ 3.6.6.2 The Architect's inspections shall be conducted with the Owner to check conformance of the Work with the requirements of the Contract Documents and to verify the accuracy and completeness of the list submitted by the Contractor of Work to be completed or corrected.

§ 3.6.6.3 When Substantial Completion has been achieved, the Architect shall inform the Owner about the balance of the Contract Sum remaining to be paid the Contractor, including the amount to be retained from the Contract Sum, if any, for final completion or correction of the Work.

§ 3.6.6.4 The Architect shall forward to the Owner the following information received from the Contractor: (1) consent of surety or sureties, if any, to reduction in or partial release of retainage or the making of final payment; (2) affidavits, receipts, releases and waivers of liens, or bonds indemnifying the Owner against liens; and (3) any other documentation required of the Contractor under the Contract Documents.

§ 3.6.6.5 Upon request of the Owner, and prior to the expiration of one year from the date of Substantial Completion, the Architect shall, without additional compensation, conduct a meeting with the Owner to review the facility operations and performance.

§ 3.6.6.6 The Architect and its consultants as a Basic Service shall prepare record documents upon completion of the Work based on marked-up prints, drawings and other data furnished by the Contractor to the Architect, which reflect the changes which have occurred during construction. The documents shall be promptly transmitted to the Owner

## ARTICLE 4 SUPPLEMENTAL AND ADDITIONAL SERVICES

### § 4.1 Intentionally Deleted

*(Paragraphs deleted)*

*(Table deleted)*

*(Paragraphs deleted)*

### § 4.2 Architect's Additional Services

The Architect may provide Additional Services after execution of this Agreement without invalidating the Agreement. Except for services required due to the fault of the Architect, any Additional Services provided in accordance with this Section 4.2 shall entitle the Architect to compensation pursuant to Section 11.3 and an appropriate adjustment in the Architect's schedule.

§ 4.2.1 Upon recognizing the need to perform the following Additional Services, the Architect shall notify the Owner with reasonable promptness and explain the facts and circumstances giving rise to the need. The Architect shall not proceed to provide the following Additional Services until the Architect receives the Owner's written authorization:

- .1 Services necessitated by a change in the Initial Information, previous instructions or approvals given by the Owner, or a material change in the Project including size, quality, complexity, the Owner's schedule or budget for Cost of the Work, or procurement or delivery method;
- .2 Services necessitated by the enactment or revision of codes, laws, or regulations, including changing or editing previously prepared Instruments of Service;
- .3 Changing or editing previously prepared Instruments of Service necessitated by official interpretations of applicable codes, laws or regulations that are either (a) contrary to specific interpretations by the applicable authorities having jurisdiction made prior to the issuance of the building permit, or (b)

- contrary to requirements of the Instruments of Service when those Instruments of Service were prepared in accordance with the applicable standard of care;
- .4 Services necessitated by decisions of the Owner not rendered in a timely manner or any other failure of performance on the part of the Owner or the Owner's consultants or contractors;
  - .5 Preparing digital models or other design documentation for transmission to the Owner's consultants and contractors, or to other Owner-authorized recipients;
  - .6 Preparation of design and documentation for alternate bid or proposal requests proposed by the Owner;
  - .7 Preparation for, and attendance at, a public presentation, meeting or hearing;
  - .8 Preparation for, and attendance at, a dispute resolution proceeding or legal proceeding, except where the Architect is party thereto;
  - .9 Evaluation of the qualifications of entities providing bids or proposals;
  - .10 Consultation concerning replacement of Work resulting from fire or other cause during construction;

**§ 4.2.2**

*(Paragraphs deleted)*

Intentionally Deleted § 4.2.3 Intentionally Deleted § 4.2.4 Intentionally Deleted

*(Paragraphs deleted)*

§ 4.2.5 Intentionally Deleted.

**ARTICLE 5 OWNER'S RESPONSIBILITIES**

**§ 5.1** Unless otherwise provided for under this Agreement, the Owner shall provide information in a timely manner regarding requirements for and limitations on the Project, including a written program, which shall set forth the Owner's objectives; schedule; constraints and criteria, including space requirements and relationships; flexibility; expandability; special equipment; systems; and site requirements.

**§ 5.2** The Owner shall establish the Owner's budget for the Project, including (1) the budget for the Cost of the Work as defined in Section 6.1; (2) the Owner's other costs; and, (3) reasonable contingencies related to all of these costs. The Owner shall update the Owner's budget for the Project as necessary throughout the duration of the Project until final completion. If the Owner significantly increases or decreases the Owner's budget for the Cost of the Work, the Owner shall notify the Architect. The Owner and the Architect shall thereafter agree to a corresponding change in the Project's scope and quality.

**§ 5.3** The Owner shall identify a representative authorized to act on the Owner's behalf with respect to the Project. The Owner shall render decisions and approve the Architect's submittals in a timely manner in order to avoid unreasonable delay in the orderly and sequential progress of the Architect's services.

**§ 5.4** The Owner shall furnish surveys to describe physical characteristics, legal limitations and utility locations for the site of the Project, and a written legal description of the site. The surveys and legal information shall include, as applicable, grades and lines of streets, alleys, pavements and adjoining property and structures; designated wetlands; adjacent drainage; rights-of-way, restrictions, easements, encroachments, zoning, deed restrictions, boundaries and contours of the site; locations, dimensions, and other necessary data with respect to existing buildings, other improvements and trees; and information concerning available utility services and lines, both public and private, above and below grade, including inverts and depths. All the information on the survey shall be referenced to a Project benchmark.

**§ 5.5** The Owner shall furnish services of geotechnical engineers, which may include test borings, test pits, determinations of soil bearing values, percolation tests, evaluations of hazardous materials, seismic evaluation, ground corrosion tests and resistivity tests, including necessary operations for anticipating subsoil conditions, with written reports and appropriate recommendations.

§ 5.6 Intentionally Deleted

§ 5.7 Intentionally Deleted

**§ 5.8** The Owner shall coordinate the services of its own consultants with those services provided by the Architect. Upon the Architect's request, the Owner shall furnish copies of the scope of services in the contracts between the Owner and the Owner's consultants. The Owner shall furnish the services of consultants other than those designated as

the responsibility of the Architect in this Agreement, or authorize the Architect to furnish them as an Additional Service, when the Architect requests such services and demonstrates that they are reasonably required by the scope of the Project. The Owner shall require that its consultants and contractors maintain insurance, including professional liability insurance, as appropriate to the services or work provided.

§ 5.9 The Owner shall furnish tests, inspections and reports required by law or the Contract Documents, such as structural, mechanical, and chemical tests, tests for air and water pollution, and tests for hazardous materials.

§ 5.10 The Owner shall furnish all legal, insurance and accounting services, including auditing services, that may be reasonably necessary at any time for the Project to meet the Owner's needs and interests.

§ 5.11 The Owner shall provide prompt written notice to the Architect if the Owner becomes aware of any fault or defect in the Project, including errors, omissions or inconsistencies in the Architect's Instruments of Service.

§ 5.12 The Owner shall include the Architect in all communications with the Contractor that relate to or affect the Architect's services or professional responsibilities. The Owner shall promptly notify the Architect of the substance of any direct communications between the Owner and the Contractor otherwise relating to the Project. Communications by and with the Architect's consultants shall be through the Architect.

§ 5.13 Before executing the Contract for Construction, the Owner shall coordinate the Architect's duties and responsibilities set forth in the Contract for Construction with the Architect's services set forth in this Agreement. The Owner shall provide the Architect a copy of the executed agreement between the Owner and Contractor, including the General Conditions of the Contract for Construction.

§ 5.14 The Owner shall provide the Architect access to the Project site prior to commencement of the Work and shall obligate the Contractor to provide the Architect access to the Work wherever it is in preparation or progress.

§ 5.15 Intentionally Deleted

## ARTICLE 6 COST OF THE WORK

§ 6.1 For purposes of this Agreement, the Cost of the Work shall be the total cost to the Owner to construct all elements of the Project designed or specified by the Architect and shall include contractors' general conditions costs, overhead and profit. The Cost of the Work does not include the compensation of the Architect; the costs of the land, rights-of-way, financing, or contingencies for changes in the Work; or other costs that are the responsibility of the Owner.

§ 6.2 The Owner's budget for the Cost of the Work is provided in Initial Information, and shall be adjusted throughout the Project as required under Sections 5.2, 6.4 and 6.5. Evaluations of the Owner's budget for the Cost of the Work, and the preliminary estimate of the Cost of the Work and updated estimates of the Cost of the Work, prepared by the Architect, represent the Architect's judgment as a design professional. It is recognized, however, that neither the Architect nor the Owner has control over the cost of labor, materials, or equipment; the Contractor's methods of determining bid prices; or competitive bidding, market, or negotiating conditions. Accordingly, the Architect cannot and does not warrant or represent that bids or negotiated prices will not vary from the Owner's budget for the Cost of the Work, or from any estimate of the Cost of the Work, or evaluation, prepared or agreed to by the Architect.

### § 6.3

A fixed limit of the Cost of the Work shall be established as a condition of this Agreement by the establishment of a Project Budget. When such a fixed limit has been established, the Architect shall be permitted to include contingencies for design, bidding, and price escalation; to determine what materials, equipment, component systems, and types of construction are to be included in the Contract Documents; to recommend reasonable adjustments in the program and scope of the Project; and to include design alternates as may be necessary to adjust the estimated Cost of the Work to meet the Owner's budget. The Architect's estimate of the Cost of the Work shall be based on current area, volume or similar conceptual estimating techniques at a level of detail satisfactory to the Owner, which when approved by the Owner, shall be incorporated into a Project Budget approved by the Owner.

§ 6.4 If, through no fault of the Architect, the Procurement Phase has not commenced within 90 days after the Architect submits the Construction Documents to the Owner, the Owner's budget for the Cost of the Work shall be adjusted to reflect changes in the general level of prices in the applicable construction market between the date of

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submission of the Construction Documents to the Owner and the date on which the Bidding or Negotiation Phase commenced.

§ 6.5 If at any time the Architect's estimate of the Cost of the Work exceeds the Owner's budget for the Cost of the Work, the Architect shall make appropriate recommendations to the Owner to adjust the Project's size, quality, or budget for the Cost of the Work, and the Owner shall cooperate with the Architect in making such adjustments.

§ 6.6 If the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services is exceeded by the lowest bona fide bid or negotiated proposal, the Owner shall

- .1 give written approval of an increase in the budget for the Cost of the Work;
- .2 authorize rebidding or renegotiating of the Project within a reasonable time;
- .3 terminate in accordance with Section 9.5;
- .4 in consultation with the Architect, revise the Project program, scope, or quality as required to reduce the Cost of the Work; or,
- .5 implement any other mutually acceptable alternative.

§ 6.7 If the Owner chooses to proceed under Section 6.6.4, the Architect shall modify the Construction Documents as necessary to comply with the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services, or the budget as adjusted under Section 6.6.1.

The Architect's services for modifying the Construction Documents shall be without additional compensation.

## ARTICLE 7 COPYRIGHTS AND LICENSES

§ 7.1 The Architect and the Owner warrant that in transmitting Instruments of Service, or any other information, the transmitting party is the copyright owner of such information or has permission from the copyright owner to transmit such information for its use on the Project.

§ 7.2 The Drawings, Specifications and other documents prepared by the Architect and the Architect's consultants for this Project, including electronic media, are the property of the Owner, and the Architect may not use these documents for any purpose not relating to the Project without the Owner's consent. The Owner shall be furnished with such reproductions of Drawings, Specifications and other documents as Owner may reasonably require. Upon completion of the Work or any earlier termination of the Agreement, Architect will revise the Drawings to reflect changes made during construction and will promptly furnish the Owner with one complete set of reproducible record prints. Other prints shall be furnished at any other time requested by the Owner. All such CAD electronic data files and reproductions are the property of the Owner which may use them without Architect's permission for any purpose relating to the Project, including, but not limited to, additions, or modifications to, or completion of the Project or submission or distribution of documents by the Owner to meet official regulatory requirements.

### § 7.3

Notwithstanding the terms of Section 7.2, the Architect shall retain full rights to reuse component information contained in the documents in the normal course of business.

§ 7.3.1 Intentionally Deleted

§ 7.4 Intentionally Deleted

§ 7.5 The provisions of this Article 7 shall survive the termination of this Agreement.

## ARTICLE 8 CLAIMS AND DISPUTES

### § 8.1 General

#### § 8.1.1

The Architect agrees to defend, indemnify, and hold harmless HHS, its officers, agents, volunteers and employees from any liability, claims, causes of action, judgments, damages, losses, costs or expenses including reasonable attorney fees, resulting in whole or in part from any negligent or willful act or omission of the Architect, its consultants, anyone directly or indirectly employed by them, and/or anyone for whose acts and/or omissions they may be liable in the performance of the services required by this Agreement, and against all loss by reason of the failure of said Architect to perform all obligations under this Agreement. Notwithstanding the foregoing, to the extent damages are covered by property insurance, the Owner and Architect waive all rights against each other and against the contractors, consultants, agents and employees of the other for damages, except such rights as they may have to the proceeds of such insurance as set forth in the modified AIA Document A201-2017, General Conditions of the

Contract for Construction, as modified by the Owner. The Owner or the Architect, as appropriate, shall require of the contractors, consultants, agents and employees of any of them similar waivers in favor of the other parties enumerated herein. Nothing herein is intended to impose an obligation on the Architect that is void an unenforceable under Minnesota Statutes Section 604.21.

§ 8.1.2 As between the Owner and the Architect and its insurer as to all acts or failures to act by any party, the time limitation for the commencement of any action shall be three (3) years and shall commence to run and any alleged cause of action shall be deemed to have accrued in any and all events on the date of issuance of the Final Project Certificate for Payment; or in the event that there is a termination of this Agreement prior to completion of the Project and no Final Project Certificate for Payment is issued, on the date of termination of this Agreement; or on the date of the act or failure to act; or on the date of discovery by the injured party of the act or failure to act; whichever is later. However, in no event may an action be brought more than twelve (12) years after the date of issuance of the Final Project Certificate for Payment, or in the event that there is a termination of this Agreement prior to completion of the Project and no Final Project Certificate for Payment is issued, the date of termination of this Agreement.

§ 8.1.3 Intentionally Deleted

## § 8.2 Mediation

§ 8.2.1 Intentionally Deleted

§ 8.2.2 The Owner and Architect shall endeavor to resolve claims, disputes and other matters in question between them by mediation, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of this Agreement. A request for mediation shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the mediation.

§ 8.2.3 The parties shall share the mediator's fee and any filing fees equally. The mediation shall be held in the place where the Project is located, unless another location is mutually agreed upon. Agreements reached in mediation shall be enforceable as settlement agreements in any court having jurisdiction thereof.

§ 8.2.4 If the parties do not resolve a dispute through mediation pursuant to this Section 8.2, the method of binding dispute resolution shall be the following:

- Arbitration pursuant to Section 8.3 of this Agreement
- Litigation in a court of competent jurisdiction
- Other: *(Specify)*

If the Owner and Architect do not select a method of binding dispute resolution, or do not subsequently agree in writing to a binding dispute resolution method other than litigation, the dispute will be resolved in a court of competent jurisdiction.

## § 8.3 Arbitration

§ 8.3.1 If the parties have selected arbitration as the method for binding dispute resolution in this Agreement, any claim, dispute or other matter in question arising out of or related to this Agreement subject to, but not resolved by, mediation shall be subject to arbitration, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Arbitration Rules in effect on the date of this Agreement. A demand for arbitration shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the arbitration.

§ 8.3.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the commencement of litigation. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the claim, dispute or other matter in question.

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§ 8.3.2 The foregoing agreement to arbitrate, and other agreements to arbitrate with an additional person or entity duly consented to by parties to this Agreement, shall be specifically enforceable in accordance with applicable law in any court having jurisdiction thereof.

§ 8.3.3 The award rendered by the arbitrator(s) shall be final, and judgment may be entered upon it in accordance with applicable law in any court having jurisdiction thereof.

#### § 8.3.4 Consolidation or Joinder

§ 8.3.4.1 Either party, at its sole discretion, may consolidate an arbitration conducted under this Agreement with any other arbitration to which it is a party provided that (1) the arbitration agreement governing the other arbitration permits consolidation; (2) the arbitrations to be consolidated substantially involve common questions of law or fact; and (3) the arbitrations employ materially similar procedural rules and methods for selecting arbitrator(s).

§ 8.3.4.2 Either party, at its sole discretion, may include by joinder persons or entities substantially involved in a common question of law or fact whose presence is required if complete relief is to be accorded in arbitration, provided that the party sought to be joined consents in writing to such joinder. Consent to arbitration involving an additional person or entity shall not constitute consent to arbitration of any claim, dispute or other matter in question not described in the written consent.

§ 8.3.4.3 The Owner and Architect grant to any person or entity made a party to an arbitration conducted under this Section 8.3, whether by joinder or consolidation, the same rights of joinder and consolidation as the Owner and Architect under this Agreement.

§ 8.4 The provisions of this Article 8 shall survive the termination of this Agreement.

### ARTICLE 9 TERMINATION OR SUSPENSION

§ 9.1 If the Owner fails to make payments to the Architect in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Architect's option, cause for suspension of performance of services under this Agreement. If the Architect elects to suspend services, the Architect shall give seven days' written notice to the Owner before suspending services. In the event of a suspension of services, the Architect shall have no liability to the Owner for delay or damage caused the Owner because of such suspension of services. Before resuming services, the Owner shall pay the Architect all sums due prior to suspension.

§ 9.2 If the Owner suspends the Project, for more than 30 consecutive days for reasons other than the fault of the Architect (or in the case of an epidemic, pandemic, declaration of emergency by the governor, declaration of local emergency, or other order issued by the federal, state, or local unit of government, for more than 60 consecutive days), the Architect shall be compensated for services performed prior to notice of such suspension. When the Project is resumed, the Architect shall be compensated for expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

§ 9.3 If the Owner suspends the Project for more than 90 cumulative days for reasons other than the fault of the Architect, the Architect may terminate this Agreement by giving not less than seven days' written notice.

§ 9.4 Either party may terminate this Agreement upon not less than seven days' written notice should the other party fail substantially to perform in accordance with the terms of this Agreement through no fault of the party initiating the termination.

§ 9.5 The Owner may terminate this Agreement upon not less than seven days' written notice to the Architect for the Owner's convenience and without cause.

§ 9.6 If the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall compensate the Architect for services performed prior to termination, Reimbursable Expenses incurred, and costs attributable to termination, including the costs attributable to the Architect's termination of consultant agreements.

**§ 9.7 In**

*(Paragraphs deleted)*

the event of any termination under this Article 9, the Architect consents to Owner's selection of another architect of Owner's choice to assist the Owner in any way in completing the Project. Architect further agrees to cooperate and provide any information requested by Owner in connection with the completion of the Project and consents to and authorizes the making of any reasonable changes to the design of the Project by Owner and such other architect as Owner may appoint. Any services provided by Architect which are requested by Owner after termination shall be fairly compensated by Owner.

**§ 9.8** Except as otherwise expressly provided herein, this Agreement shall terminate one year from the date of Substantial Completion, provided that all Basic Services have been performed.

**§ 9.9** The Owner's rights to use the Architect's Instruments of Service in the event of a termination of this Agreement are set forth in Article 7.

**ARTICLE 10 MISCELLANEOUS PROVISIONS**

**§ 10.1** This Agreement shall be governed by the laws of the State of Minnesota.

**§ 10.2** Terms in this Agreement shall have the same meaning as those in the modified AIA Document A201-2017, General Conditions of the Contract for Construction.

**§ 10.3** The Owner and Architect, respectively, bind themselves, their agents, successors, assigns, and legal representatives to this Agreement. Neither the Owner nor the Architect shall assign this Agreement without the written consent of the other, except that the Owner may assign this Agreement to a lender providing financing for the Project if the lender agrees to assume the Owner's rights and obligations under this Agreement, including any payments due to the Architect by the Owner prior to the assignment.

**§ 10.4** If the Owner requests the Architect to execute certificates, the proposed language of such certificates shall be submitted to the Architect for review at least 14 days prior to the requested dates of execution. If the Owner requests the Architect to execute consents reasonably required to facilitate assignment to a lender, the Architect shall execute all such consents that are consistent with this Agreement, provided the proposed consent is submitted to the Architect for review at least 14 days prior to execution. The Architect shall not be required to execute certificates or consents that would require knowledge, services, or responsibilities beyond the scope of this Agreement.

**§ 10.5** Nothing contained in this Agreement shall create a contractual relationship with, or a cause of action in favor of, a third party against either the Owner or Architect.

**§ 10.6** Unless otherwise required in this Agreement, the Architect shall have no responsibility for the discovery, presence, handling, removal or disposal of, or exposure of persons to, hazardous materials or toxic substances in any form at the Project site.

**§ 10.7** The Architect shall have the right to include photographic or artistic representations of the design of the Project among the Architect's promotional and professional materials. The Architect shall be given reasonable access to the completed Project to make such representations. However, the Architect's materials shall not include the Owner's confidential or proprietary information if the Owner has previously advised the Architect in writing of the specific information considered by the Owner to be confidential or proprietary. The Owner shall provide professional credit for the Architect in the Owner's promotional materials for the Project. This Section 10.7 shall survive the termination of this Agreement unless the Owner terminates this Agreement for cause pursuant to Section 9.4.

**§ 10.8** If the Architect or Owner receives information specifically designated as "confidential" or "business proprietary," the receiving party shall keep such information strictly confidential and shall not disclose it to any other person except as set forth in Section 10.8.1. This Section 10.8 shall survive the termination of this Agreement.

**§ 10.8.1** The receiving party may disclose "confidential" or "business proprietary" information after 7 days' notice to the other party, when required by law, arbitrator's order, or court order, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or to the extent such information is reasonably necessary for the receiving party to defend itself in any dispute. The receiving party may also disclose such information to its employees, consultants, or contractors in order to perform services or work solely and exclusively

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for the Project, provided those employees, consultants and contractors are subject to the restrictions on the disclosure and use of such information as set forth in this Section 10.8. To the extent that the terms in this Section 10.8 are inconsistent with the parties' obligations under Section 12.2, the terms in Section 12.2 shall govern.

**§ 10.9** The invalidity of any provision of the Agreement shall not invalidate the Agreement or its remaining provisions. If it is determined that any provision of the Agreement violates any law, or is otherwise invalid or unenforceable, then that provision shall be revised to the extent necessary to make that provision legal and enforceable. In such case the Agreement shall be construed, to the fullest extent permitted by law, to give effect to the parties' intentions and purposes in executing the Agreement.

**§ 10.10** The Architect shall select the means, method, and manner of performing the services herein. Nothing is intended or should be construed in any manner as creating or establishing the relationship of co-partners between the parties hereto or as constituting the Architect as the agent, representative, or employee of the Owner for any purpose or in any manner whatsoever. The Architect is to be and shall remain an independent consultant with respect to all services performed under this Agreement. Any and all personnel of the Architect or other persons while engaged in the performance of any work or services required by the Architect under this Agreement shall have no contractual relationship with the Owner, and shall not be considered employees of the Owner. Any and all claims that may or might arise under the Unemployment Insurance Law or the Workers' Compensation Act of the State of Minnesota on behalf of said personnel, arising out of employment or alleged employment, including, without limitation, claims of discrimination against the Architect, its officers, agents, contractors, or employees shall in no way be the responsibility of the Owner. The Architect shall defend, indemnify, and hold the Owner, its officers, agents, and employees harmless from any and all such claims irrespective of any determination of any pertinent tribunal, agency, board, commission, or court. Such personnel or other persons shall neither require nor be entitled to any compensation, rights, or benefits of any kind whatsoever from the Owner, including, without limitation, tenure rights, medical and hospital care, sick and vacation leave, Workers' Compensation, Unemployment Insurance, disability, severance pay and PERA.

## **ARTICLE 11 COMPENSATION**

**§ 11.1** For the Architect's Basic Services described under Article 3, the Owner shall compensate the Architect as follows:

.1 Stipulated Sum  
(Insert amount)

.2 Percentage Basis  
(Insert percentage value)

( ) % of the Owner's budget for the Cost of the Work, as calculated in accordance with Section 11.6.

.3 Other  
(Describe the method of compensation)

**§ 11.2** Intentionally Deleted

**§ 11.3** For Additional Services that may arise during the course of the Project, including those under Section 4.2, the Owner shall compensate the Architect as follows:  
(Insert amount of, or basis for, compensation.)

The Billable Rates listed in the Consultant Fee Submittal are the maximum hourly rates for the Architect's principals, employees, and professional consultants. These maximum hourly rates will not be increased for Additional Services. For HHS authorized Additional Services, the Architect's services rendered by its principals, employees, and project consultants shall be based on actual hours worked times the Billable Rates listed in the Consultant Fee Submittal, to the maximum not-to-exceed sum approved by HHS for Additional Services.

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§ 11.4 Compensation for Supplemental and Additional Services of the Architect's consultants when not included in Section 11.2 or 11.3, shall be the amount invoiced to the Architect plus percent ( %), or as follows:  
*(Insert amount of, or basis for computing, Architect's consultants' compensation for Supplemental or Additional Services.)*

§ 11.5 When compensation for Basic Services is based on a stipulated sum or a percentage basis, the proportion of compensation for each phase of services shall be as follows:

Schematic Design Phase	percent (	%)
Design Development Phase	percent (	%)
Construction Documents Phase	percent (	%)
Procurement Phase	percent (	%)
Construction Phase	percent (	%)
<hr/>		
Total Basic Compensation	one hundred percent (	100 %)

The above payment schedule establishes the not-to-exceed limit for each phase of service, and the Architect agrees to furnish all necessary Basic Services for each phase of service for no more than the NTE Fee and the allowable reimbursable expenses allocated to such phase of service; however, unused funds from a completed phase of service may be carried forward to a subsequent phase of service, thus increasing, and modifying, the not-to-exceed fee limit for such phase.

The Billable Rates listed in the Consultant Fee Submittal are the maximum hourly rates of the Architect's principals, employees, and professional consultants. These maximum hourly rates will not increase for the term of this Agreement. For Basic Services of the Architect's consultant, compensation shall be a multiple of one (1.0) times the actual amounts billed to the Architect for such services

§ 11.6 Intentionally Deleted

*(Paragraph deleted)*

§ 11.7

*(Paragraphs deleted)*  
 Intentionally Deleted

§ 11.8 Compensation for Reimbursable Expenses

§ 11.8.1 Reimbursable Expenses are in addition to compensation for Basic, Supplemental, and Additional Services and include expenses incurred by the Architect and the Architect's consultants directly related to the Project, as follows:

- .1 Transportation and authorized out-of-town travel and subsistence;
- .2 Long distance services, dedicated data and communication services, teleconferences, Project web sites, and extranets;
- .3 Permitting and other fees required by authorities having jurisdiction over the Project;
- .4 Printing, reproductions, plots, and standard form documents;
- .5 Postage, handling, and delivery;
- .6 Expense of overtime work requiring higher than regular rates, if authorized in advance by the Owner;
- .7 Renderings, physical models, mock-ups, professional photography, and presentation materials requested by the Owner or required for the Project;
- .8 If required by the Owner, and with the Owner's prior written approval, the Architect's consultants' expenses of professional liability insurance dedicated exclusively to this Project, or the expense of additional insurance coverage or limits in excess of that normally maintained by the Architect's consultants;
- .9 All taxes levied on professional services and on reimbursable expenses;
- .10 Site office expenses;
- .11 Other similar Project-related expenditures.

§ 11.8.2 For Reimbursable Expenses the compensation shall be the expenses incurred by the Architect and the Architect's consultants with no markup.

§ 11.8.3 No payment for any of the aforementioned costs incurred by the Architect shall be reimbursed by the Owner if the work has not been previously and specifically authorized by the Owner, in writing. Architect compensation shall not include the expense of computer aided design and drafting equipment time when used in connection with the Project. Payments for all costs and fees incurred under terms of the Agreement shall be made to the Architect in accordance with the provisions hereof. Payment for work performed by approved consultants shall be the responsibility of the Architect.

11.8.4 The following are not reimbursable expenses: general and administrative personnel expense attributable to the general operation of the business. These non-reimbursable expenses would include, but are not limited to, management, secretarial, organization, advertising, entertainment, contributions and donations, attorney fees, labor relations, bad debts, and other business expenses not specifically identifiable and directly related to the Project. In addition, local telephone communications, electronic mail (including attachments), facsimiles, letters (including postage and handling), photocopies, office supplies (drafting paper, stationery, scratch pads, pens and so forth), office equipment (computers, computer software, chairs, tables and so forth), and other expenses not specifically identifiable and directly related to the Project are not reimbursable expenses.

§ 11.9

*(Paragraphs deleted)*  
Intentional Deleted

§ 11.10 Payments to the Architect

§ 11.10.1 Initial Payments

§ 11.10.1.1 An initial payment of ( \$ ) shall be made upon execution of this Agreement and is the minimum payment under this Agreement. It shall be credited to the Owner's account in the final invoice.

*(Paragraph deleted)*

§ 11.10.2 Progress Payments

§ 11.10.2.1

Unless otherwise agreed, payments for services shall be made monthly in proportion to services performed.

*(Paragraphs deleted)*

§ 11.10.2.2 Intentionally Deleted

§ 11.10.2.3 Records of Reimbursable Expenses, expenses pertaining to Supplemental and Additional Services, and services performed on the basis of hourly rates shall be available to the Owner at mutually convenient times. The Architect agrees that the Owner, the State Auditor, Legislative Auditor, or any of their duly authorized representatives, at any time during normal business hours, and as often as they may reasonably deem necessary, shall have access to and the right to examine, audit, excerpt and transcribe any books, documents, papers, records, etc. which are pertinent and involve transactions relating to this Agreement. Such material must be retained for six (6) years by the Architect following the termination of this Agreement. Architect's accounting practices and procedures relevant to this Agreement shall also be subject to examination by any or all of the aforesaid persons as often as and during such time as aforesaid.

§11.10.3 Payment. The Architect shall submit monthly invoices, no more often than once a month, for compensation of its obligations under this Agreement during the previous month. All invoices submitted for payment shall include, but not be limited to, the following detailed information:

1. Architect's Personnel Costs:
  - a. Name of employee
  - b. Employee title
  - c. Date of service

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- d. Description of work performed
  - e. Identify the phase of service or additional services or other
  - f. Actual time worked
  - g. Billable rate
  - h. Current amount claimed
  - i. Cumulative total paid per employee per Phase of Service or
  - j. Cumulative total paid by phase/additional services/other.
  - k. Cumulative total paid under this Agreement.
2. Sub-consultant Personnel Costs
- a. Name of employee
  - b. Employee title
  - c. Date of service
  - d. Description of work performed
  - e. Identify the phase of service or additional services or other
  - f. Actual time worked
  - g. Billable rate
  - h. Current amount claimed
  - i. Cumulative total paid per employee per Phase of Service or
  - j. Cumulative total paid by phase/additional services/other.
  - k. Cumulative total paid under this Agreement.
3. Reimbursable Expenses: For approved expenses, itemized as provided in §11.8.1 herein.

**§11.10.4 Final Payment for Construction Phase of Service.** Final payment due the Architect will be made by the Owner when all Basic Services have been performed and accepted HHS, including Other Basic Services as defined in §12.5 herein.

**§11.10.5 Payments Pursuant to Governing Law.** All claims and payments shall be made in the manner prescribed on HHS purchase orders for payment of claims and/or invoices. All payments and claims are subject to the False Claims Act, Minnesota Statutes, Chapter 15C.

**ARTICLE 12 SPECIAL TERMS AND CONDITIONS**

**§ 12.1 NON-DISCRIMINATION & AFFIRMATIVE ACTION**

In accordance with Hennepin County’s policies against discrimination, Architect agrees that it shall not exclude any person from full employment rights or participation in or the benefits of any program, service or activity on the grounds of race, color, creed, religion, age, sex, disability, marital status, sexual orientation, public assistance status; or national origin; and no person who is protected by applicable Federal or State laws against discrimination shall be otherwise subjected to discrimination.

- A. Affirmative Action Requirements. In accordance with Hennepin County Board Resolution and subject to the applicable exemptions, if any, in Paragraph B below, if this Agreement is for a sum over \$100,000 or is amended to exceed \$100,000, then the Architect shall abide by Hennepin County’s Affirmative Action requirements for contractors. Those requirements, for purposes of this Agreement, are consistent with those imposed for state contractors pursuant to Minnesota Statutes, sections 363A.36 to .37 and Minnesota Rules, parts 5000.3200 to 5000.3600.
- B. Exemptions. The Architect may be granted an exemption for one of the following reasons:
  - (1) Contract is for emergency or life safety-related purchases;
  - (2) The Architect has no facilities and has no more than one employee operating within the geographic boundaries of Hennepin County;
  - (3) The Architect had an average of forty (40) or fewer full-time/benefit- earning employees during the twelve (12) months preceding the submission of the bid, request

- (4) for proposal or execution of this Agreement; or  
A designee of Supply Chain Management grants an exemption.

C. Compliance; Remedies. The architect shall demonstrate compliance by submitting and maintaining a workforce certificate from the Minnesota Department of Human Rights (MDHR), unless HHS, or Hennepin County provides for alternative certification. The Architect shall remain in compliance with all applicable requirements through the term of this Agreement. The Architect shall also provide all compliance documentation requested by the MDHR, HHS or by Hennepin County, and shall cooperate with all compliance activities, including but not limited to site visits. If the Architect fails to demonstrate good faith efforts to correct any identified Affirmative Action deficiencies or fails to submit requested reports or information required by HHS, Hennepin County or the MDHR, or has engaged in discriminatory practices, HHS may consider this a violation of this Agreement and may exercise any remedies available to it in law or in equity, including, but not limited to, cancellation or termination of this Agreement.

### § 12.2 DATA PRACTICES

The Architect and its consultants agree to abide by the provisions of the Minnesota Government Data Practices Act, Minnesota Statutes, Chapter 13, and all other applicable state and federal laws, rules, regulations, and orders relating to data privacy or confidentiality, and as any of the same may be amended. The architect and its consultants agree to defend, indemnify and hold harmless HHS, its officials, officers, agents, employees, and volunteers from any claims resulting from the Architect's and its consultants' unlawful disclosure and/or use of such protected data. The terms of this paragraph shall survive the cancellation or termination of this Agreement.

### § 12.3 PAPER RECYCLING

The Owner encourages the Architect to develop and implement an office paper and newsprint recycling program.

### § 12.4 LEGAL NOTICES

Any notice or demand which must be given or made by a party hereto under the terms of this Agreement or any statute or ordinance shall be in writing and shall be sent registered or certified mail. Notices to the Architect shall be sent to its address as set forth in the opening paragraph of this Agreement. Notices to HHS shall be directed to the Supply Chain Management, 800 South 8<sup>th</sup> Street, BL.240, Minneapolis, Minnesota 55415.

### § 12.5 OTHER BASIC SERVICES

§ 12.5.1 General – Any services described as Basic Services in this Agreement and/or its exhibits shall be considered Basic Services, including the following specified services.

§ 12.5.2 Electronic Media – The Architect and its consultants shall create all graphic documents for the project through the use of the electronic media AutoDesk, AutoCad latest release and shall furnish the Owner appropriate documents.

§ 12.5.3 Record Documents – The Architect and its consultants as a Basic Service shall prepare Record documents upon completion of the Work based on marked-up prints, drawings and other data furnished by the Contractor to the Architect, which reflect the changes which have occurred during construction. The documents shall be promptly transmitted to the Owner.

§ 12.5.4 Change Orders/Change Directives – Preparing drawings, specifications and other documentation and supporting data, evaluating contractors' proposals and providing other services in connection with change orders and construction change directives is a Basic Service under this Agreement unless otherwise agreed to in writing by the Owner/Owner's Authorized Representative.

§ 12.5.5 Civil Engineering – Unless otherwise advised, civil Engineering and other similar services are incorporated into Basic Services as provided in paragraph 3.1 Work under this section is not limited to the site property boundaries.

§ 12.5.6 Furniture, Fixtures and Equipment – The Architect and its consultants as a Basic Service shall provide design services related to furniture, fixtures and equipment required for the project.

## § 12.6 SMALL BUSINESS ENTERPRISE PROGRAM

§ 12.6.1 **SBE PROGRAM REQUIREMENTS** HHS is committed to providing equal opportunity in contracting and to a goal of increased participation of SBE firms in contracting and subcontracting. The Architect is required to meet the following specific SBE/SMBE/SWBE (hereinafter referred to as "SBE") participation goal(s):

**SMBE Goal: 30%**

Small Business Enterprise (SBE): means a CERT-certified small business.

Small Minority Business Enterprise (SMBE): means a CERT-certified small minority-owned business. Minority-owned businesses include male and female owned firms owned by a person, or a majority of persons, who is/are African American, Hispanic, Asian, or Native American, as classified by CERT.

Small Women Business Enterprise (SWBE): means a CERT-certified small women-owned business. Women-owned firms are firms owned by a woman, or majority of women, who is/are White/Caucasian, as classified by CERT.

The following conditions apply in determining the Architect's compliance with this goal:

- .1 The total payments made under a contract or subcontract to certified SBEs will be counted toward the applicable goal.
- .2 In the case of a joint venture, that portion of the payments attributable to the participation and control of the SBE partner in the joint venture will be counted toward the applicable goal.
- .3 Only payments to SBEs that perform a commercially useful function in the work of a contract or subcontract may be counted toward the small business utilization goals. SBEs are considered to perform a commercially useful function when they are responsible for execution of work directly applicable to the project and carry out their responsibilities by actually supplying labor or material to, or managing or supervising, the work involved.
- .4 Total payments for materials, supplies, and reimbursable expenses may be counted toward SBE participation goals if the SBE firm assumes the actual and contractual responsibility for providing the materials and supplies.
- .5 The Architect's commitment to a specified participation level is to meet the required participation levels, and is not intended and shall not be used to discriminate against any qualified company or group of companies.

## § 12.6.2 PARTICIPATION

§ 12.6.2.1 The Architect understands that the SBE participation goal must be maintained throughout the life of its Contract.

§ 12.6.2.2 The Architect and all tiers of subconsultants shall establish and maintain records and submit regular reports, as required hereunder.

§ 12.6.2.3 The current list of CERT-certified firms can be accessed at: [www.cert.smwbe.com](http://www.cert.smwbe.com) Under "Vendor Certification" click on "Central CERT Directory" to search the database for certified firms.

## § 12.6.4 GOOD FAITH EFFORTS DURING ARCHITECT'S PERFORMANCE

§ 12.6.4.1 If Architect fails to maintain the SBE participation goal during the performance of the contract and cannot demonstrate good-faith efforts to meet the goal in accordance with the following guidelines, the Architect may, at the HHS's sole option, be deemed to be in breach of contract and subject to sanctions. The following are good faith efforts guidelines.

- .1 Verification that the Architect entered into a contract with the SBE firm(s) to perform work on the project.
- .2 Verification that, consistent with professional standards, the Architect maintained communication with the SBE firm to insure that the SBE firm understood when to begin work and was available to fulfill its contractual agreement.
- .3 Statement giving the reason(s) why the subconsultant contracted with to meet the SBE utilization goal did not fulfill its contractual agreement.
- .4 Verification of efforts to replace a non-performing SBE firm by written notice to all other SBE firms listed in the CERT directory within the applicable industry category(s).

§ 12.6.4.2 HHS recommends the Architect include provisions in its agreement(s) with its subconsultant(s) that obligate such subconsultant to submit all required payment information to HHS as may be required.

**§ 12.6.5 SANCTIONS**

If the SBE participation goal is not met after contract award/approval, HHS will require the Architect to demonstrate that it made good-faith efforts to meet the goal. If HHS determines the Architect failed to demonstrate good-faith efforts, and/or if the Architect failed to submit information required by PCS, and/or the Architect engaged in discriminatory practices, HHS may, in its sole discretion, suspend, cancel, or terminate, in whole or in part, this contract. Additionally, HHS may, in its sole discretion, withhold up to five percent (5.0%) of the contract price until such time as the Architect is determined to have submitted the required reports and/or information, and/or demonstrates good-faith efforts to meet the goal, as determined by HHS.

**ARTICLE 13 SCOPE OF THE AGREEMENT**

§ 13.1 This Agreement represents the entire and integrated agreement between the Owner and the Architect and supersedes all prior negotiations, representations or agreements, either written or oral. This Agreement may be amended only by written instrument signed by both the Owner and Architect.

§ 13.2 This Agreement is comprised of the following documents identified below:

- .1 AIA Document modified B101™–2017, Standard Form Agreement Between Owner and Architect
- .2

*(Paragraph deleted)*

- .3 Exhibits:  
*(Check the appropriate box for any exhibits incorporated into this Agreement.)*

AIA Document E204™–2017, Sustainable Projects Exhibit, dated as indicated below:  
*(Insert the date of the E204-2017 incorporated into this agreement.)*

Other Exhibits incorporated into this Agreement:  
*(Clearly identify any other exhibits incorporated into this Agreement, including any exhibits and scopes of services identified as exhibits in Section 4.1.2.)*

Reserved

- .4 Other documents:  
*(List other documents, if any, forming part of the Agreement.)*

Reserved

This Agreement entered into as of the day and year first written above.

---

**OWNER** *(Signature)*

---

*(Printed name and title)*

---

**ARCHITECT** *(Signature)*

---

*(Printed name, title, and license number, if required)*



Init.

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# Additions and Deletions Report for AIA<sup>®</sup> Document B101<sup>™</sup> – 2017

This Additions and Deletions Report, as defined on page 1 of the associated document, reproduces below all text the author has added to the standard form AIA document in order to complete it, as well as any text the author may have added to or deleted from the original AIA text. Added text is shown underlined. Deleted text is indicated with a horizontal line through the original AIA text.

Note: This Additions and Deletions Report is provided for information purposes only and is not incorporated into or constitute any part of the associated AIA document. This Additions and Deletions Report and its associated document were generated simultaneously by AIA software at 15:54:39 ET on 05/25/2021.

## PAGE 1

**AGREEMENT** made as of the    day of    in the year 2021

...

Hennepin Healthcare System, Inc.  
a public subsidiary corporation of Hennepin County doing business as  
Medical Center and Hennepin Healthcare  
701 Park Avenue South  
Minneapolis, Minnesota 55415

...

## HCMC PURPLE RAMP EXPANSION

Construction Modification of HCMC Purple Parking Ramp and Skyway connectors

## PAGE 2

§ 1.1 This Agreement is based on the ~~Initial Information set forth in this Section 1.1.~~  
(For each item in this section, insert the information or a statement such as "not applicable" or "unknown at time of execution.")Project Description, Scope of Services, and other architectural services as HHS RFP 21-006, which are attached hereto and incorporated by reference.

§ 1.1.1 The Owner's program for the Project:  
(~~Insert the Owner's program, identify documentation that establishes the Owner's program, or state the manner in which the program will be developed.~~)

§ 1.1.2 The Project's physical characteristics:  
(~~Identify or describe pertinent information about the Project's physical characteristics, such as size; location; dimensions; geotechnical reports; site boundaries; topographic surveys; traffic and utility studies; availability of public and private utilities and services; legal description of the site, etc.~~)

§ 1.1.3 The Owner's budget for the ~~Cost of the Work~~, as defined in Section 6.1:  
(~~Provide total and, if known, a line item breakdown.~~)

§ 1.1.4 The Owner's anticipated design and construction milestone dates:

.1 — Design phase milestone dates, if any:

.2 — Construction commencement date:

.3 — Substantial Completion date or dates:

\_\_\_\_\_  
.4 — Other milestone dates:

§ 1.1.5 The Owner intends the following procurement and delivery method for the Project:  
*(Identify method such as competitive bid or negotiated contract, as well as any requirements for accelerated or fast-track design and construction, multiple bid packages, or phased construction.)*

§ 1.1.6 The Owner's anticipated Sustainable Objective for the Project:  
*(Identify and describe the Owner's Sustainable Objective for the Project, if any.)*

§ 1.1.6.1 If the Owner identifies a Sustainable Objective, the Owner and Architect shall complete and incorporate AIA Document E204™ 2017, Sustainable Projects Exhibit, into this Agreement to define the terms, conditions and services related to the Owner's Sustainable Objective. If E204 2017 is incorporated into this agreement, the Owner and Architect shall incorporate the completed E204 2017 into the agreements with the consultants and contractors performing services or Work in any way associated with the Sustainable Objective.

§ 1.1.7 The Owner identifies the following representative in accordance with Section 5.3:  
*(List name, address, and other contact information.)*

§ 1.1.8 The persons or entities, in addition to the Owner's representative, who are required to review the Architect's submittals to the Owner are as follows:  
*(List name, address, and other contact information.)*

§ 1.1.9 The Owner shall retain the following consultants and contractors:  
*(List name, legal status, address, and other contact information.)*

.1 — Geotechnical Engineer:

.2 — Civil Engineer:

.3 — Other, if any:

— *(List any other consultants and contractors retained by the Owner.)*

§ 1.1.10 The Architect identifies the following representative in accordance with Section 2.3:  
*(List name, address, and other contact information.)*

§ 1.1.11 The Architect shall retain the consultants identified in Sections 1.1.11.1 and 1.1.11.2:  
*(List name, legal status, address, and other contact information.)*

§ 1.1.11.1 Consultants retained under Basic Services:

.1 — Structural Engineer:

.2 — Mechanical Engineer:

.3 — Electrical Engineer:

§ 1.1.11.2 Consultants retained under Supplemental Services:

~~§ 1.1.12 Other Initial Information on which the Agreement is based:~~

~~§ 1.2 The Owner and Architect may rely on the Initial Information. Both parties, however, recognize that the Initial Information may materially change and, in that event, the Owner and the Architect shall appropriately adjust the Architect's services, schedule for the Architect's services, and the Architect's compensation. The Owner shall adjust the Owner's budget for the Cost of the Work and the Owner's anticipated design and construction milestones, as necessary, to accommodate material changes in the Initial Information.~~

~~§ 1.3 The parties shall agree upon protocols governing the transmission and use of Instruments of Service or any other information or documentation in digital form. The parties will use AIA Document E203™ 2013, Building Information Modeling and Digital Data Exhibit, to establish the protocols for the development, use, transmission, and exchange of digital data.~~

~~§ 1.3.1 Any use of, or reliance on, all or a portion of a building information model without agreement to protocols governing the use of, and reliance on, the information contained in the model and without having those protocols set forth in AIA Document E203™ 2013, Building Information Modeling and Digital Data Exhibit, and the requisite AIA Document G202™ 2013, Project Building Information Modeling Protocol Form, shall be at the using or relying party's sole risk and without liability to the other party and its contractors or consultants, the authors of, or contributors to, the building information model, and each of their agents and employees.~~

...

~~§ 2.4 Except with the Owner's knowledge and consent, the Architect shall not engage in any activity, or accept any employment, interest or contribution that would reasonably appear to compromise the Architect's professional judgment with respect to this Project. When selecting or listing preferred or sole source products, or authorizing a substitution, for this Project, the Architect and its consultants shall not solicit or accept any payments, gifts, goods (except samples for evaluation), or any special favors, directly or indirectly, from a contractor, subcontractor, supplier, their agents or employees.~~

~~§ 2.5 The Architect shall maintain the following insurance until termination of this Agreement. If any of the requirements set forth below are in addition to the types and limits the Architect normally maintains, the Owner shall pay the Architect as set forth in Section 11.9. The requirements set forth below establish minimum insurance requirements. It is the sole responsibility of the Architect to determine the need for and to procure additional insurance which may be needed in connection with this Agreement.~~

~~§ 2.5.1 Commercial General Liability with policy limits of not less than (\$ ) for each occurrence and (\$ ) in the aggregate for bodily injury and property damage. Commercial General Liability on an occurrence basis with the following coverages and policy limits (Contractual Liability coverage and Explosion, Collapse, and Underground Property Damage (XCU) coverage must be included): General Aggregate: \$2,000,000; Products – Completed Operations Aggregate: \$2,000,000; Personal and Advertising Injury: \$1,500,000; Each Occurrence – Combined Bodily Injury and Property Damage: \$1,500,000.~~

~~§ 2.5.2 Automobile Liability covering vehicles owned, and non-owned vehicles used, by the Architect with policy limits of not less than (\$ )1,500,000 per accident for bodily injury, death of any person, and property damage arising out of the ownership, maintenance and use of those motor vehicles, along with any other statutorily required automobile coverage.~~

**PAGE 3**

~~§ 2.5.5 Employers' Liability with policy limits not less than (\$ ) each accident, (\$ ) each employee, and (\$ ) policy limit.~~

Bodily injury by: Accident – Each Accident: \$500,000; Disease – Policy Limit: \$500,000; Disease – Each Employee: \$500,000.

**§ 2.5.6** Professional Liability covering negligent acts, errors and omissions in the performance of professional services with policy limits of not less than (\$ ~~)-1,500,000~~) per claim and (\$ ~~)-2,000,000~~) in the aggregate.

**§ 2.5.7 Additional Insured Obligations.** To the fullest extent permitted by law, the Architect shall cause the primary and excess or umbrella policies for Commercial General Liability and Automobile Liability to include the Owner as an additional insured for claims caused in whole or in part by the Architect’s negligent acts or omissions. The Architect shall not commence work until it has obtained required insurance and filed an acceptable Certificate of Insurance with the Owner. The Certificate shall (a) name Hennepin County and HHS as certificate holder(s) and as additional insureds with respect to operations covered under the contract for all liability insurance coverages except Workers’ Compensation and Employer’s Liability and Professional Liability; and (b) be amended to show that HHS will receive thirty (30) days’ prior written notice in the event of cancellation, nonrenewal, or material change in any described policies. The additional insured coverage shall be primary and non-contributory to any of the Owner’s insurance policies and shall apply to both ongoing and completed operations.

**§ 2.5.8** The Architect shall provide certificates of insurance to the Owner that evidence compliance with the requirements in this Section 2.5, require that consultants engaged or employed by it have and keep in force the same insurance coverages with reasonable prudent limits considering the services to be rendered, and furnish a Certificate of Insurance to the Architect naming it as certificate holder and as an additional insured. The Architect shall be entirely responsible for the adequacy of the insurance and securing the compliance of all such consultants.

**§ 2.5.9** Copies of policies shall be submitted to the Owner upon written request.

...

**§ 3.1** The Architect’s Basic Services consist of those described in this Article 3 and include usual and customary structural, mechanical, and electrical engineering services. Services not set forth in this Article 3 or in § 12.5 are Supplemental or Additional Services.

**PAGE 4**

**§ 3.1.4** The Architect shall not be responsible for an Owner’s directive or substitution, or for the Owner’s acceptance of non-conforming Work, made or given without the Architect’s written approval if the Architect objects in writing within seven (7) days of the Owner’s directive or substitution or acceptance of non-conforming Work.

...

**§ 3.1.7** Owner shall have the right to disapprove any portion of the Architect’s services on the Project, including, but not limited to, programming and preliminary design, Schematic Design, Design Development, Construction Documents, Bidding or Negotiation, or Construction Phase Services, and any other design work or documents, on any reasonable basis, including, but not limited to, aesthetics, or because in the Owner’s opinion, the Construction Cost of such design is likely to render such work or the Project infeasible. In the event that any phase of the Architect’s work is not approved by the Owner, the Architect shall proceed, when requested by the Owner, with revisions to the design work or documents prepared for that phase to attempt to satisfy Owner’s objections. These revisions will be made without adjustments to the compensation provided for hereunder, unless revisions are made to drawings previously approved under previous phases, in which case such revision services shall be paid as Additional Services. Should there be substantial revisions to the original program after approval of schematic drawings, which changes substantially increase the scope of design services to be furnished hereunder, Architect shall so notify Owner in writing and receive approval from Owner, before proceeding with revisions necessitated by such changes. No payment of any nature whatsoever, will be made to Architect, for Additional Services, without such written approval by the Owner.

**§ 3.1.8** Upon Owner’s request at any time during the Design or Construction Phases of this Agreement and as often as so requested, Architect shall promptly provide Owner with progress prints. Owner shall at all times have reasonable access to the files and personnel of Architect relating to the Project in order to answer any reasonable questions Owner may have relating to the Architect’s performance on the Project.



...

§ 3.2.1 The Architect shall review the program and other information furnished by the ~~Owner, and~~ Owner to ascertain the requirements of the Project and shall interpret and translate such requirements into a written Architectural Building Program Statement which shall be acceptable to the Owner. The Architect shall review laws, codes, and regulations applicable to the Architect's services.

...

§ 3.2.3 The Architect shall present its preliminary evaluation to the Owner and shall discuss with the Owner alternative approaches to design and construction of the ~~Project.~~ Project, including the feasibility of incorporating environmentally responsible design approaches. The Architect shall reach an understanding with the Owner regarding the requirements of the Project.

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§ 3.2.5 Based on the Owner's approval of the preliminary design, the Architect shall prepare Schematic Design Documents for the Owner's approval. The Schematic Design Documents shall consist of drawings and other documents including a site plan, if appropriate, and preliminary building plans, sections and ~~elevations;~~ elevations, and may include some combination of study models, perspective sketches, or digital representations. Preliminary selections of major building systems and construction materials shall be noted on the drawings or described in writing. Preliminary selections of major building systems and construction materials shall be noted on the drawings or described in writing.

PAGE 6

§ 3.6.1.1 The Architect shall provide administration of the Contract between the Owner and the Contractor as set forth below and in an Owner modified AIA Document A201™–2017, General Conditions of the Contract for Construction. ~~If the Owner and Contractor modify AIA Document A201–2017, those modifications shall not affect the Architect's services under this Agreement unless the Owner and the Architect amend this Agreement.~~

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§ 3.6.2.4 Interpretations and decisions of the Architect shall be consistent with the intent of, and reasonably inferable from, the Contract Documents and shall be in writing or in the form of drawings. When making such interpretations and decisions, the Architect shall endeavor to secure faithful performance by both Owner and Contractor, shall not show partiality to either, and shall not be liable for results of interpretations or decisions rendered in good ~~faith.~~ The Architect's decisions on matters relating to aesthetic effect shall be final if consistent with the intent expressed in the Contract Documents. ~~faith and in accordance with Section 2.2.~~

§ 3.6.2.5 ~~Unless the Owner and Contractor designate another person to serve as an Initial Decision Maker, as that term is defined in AIA Document A201–2017, the~~

The Architect shall render initial decisions on Claims between the Owner and Contractor as provided in the Contract Documents.

PAGE 8

§ 3.6.4.2 The Architect shall review and approve, or take other appropriate action upon, the Contractor's submittals such as Shop Drawings, Product Data and ~~Samples, but only for the limited purpose of checking for conformance with information given and the design concept expressed in the Contract Documents.~~ Samples for the purpose of (1) determining, through the exercise of professional care, compliance with applicable laws, statutes, ordinances, codes, orders, rules, and regulations; and (2) determining, through the exercise of professional care, that the Work affected by and represented by such submittals is in compliance with the requirements of the Contract Documents. The Architect shall be responsible for determining what aspects of the Work shall be the subject of such submittals. The Architect shall not knowingly permit such aspects of the construction work to proceed in the absence of approved submittals, as listed in the Specifications. If the Architect determines an aspect of the Work has been fabricated, installed or completed, for which a submittal is required, the Architect shall immediately request the Contractor produce an appropriate submittal for the Architect's review and notify the Owner that such request was made to the Contractor. The Architect's action shall be taken with such reasonable promptness as to cause no delay in the Work or in the activities of the Owner, Contractor or separate contractors, while allowing sufficient time in the Architect's

professional judgment to permit adequate review. Review of such submittals is not for the purpose of determining the accuracy and completeness of other information such as dimensions, quantities, and installation or performance of equipment or systems, which are the Contractor's responsibility. The Architect's review shall not constitute approval of safety precautions or construction means, methods, techniques, sequences or procedures. The Architect's approval of a specific item shall not indicate approval of an assembly of which the item is a component.

...

**§ 3.6.4.4** ~~Subject to Section 4.2, the~~ The Architect shall review and respond to requests for information about the Contract Documents. The Architect shall set forth, in the Contract Documents, the requirements for requests for information. Requests for information shall include, at a minimum, a detailed written statement that indicates the specific Drawings or Specifications in need of clarification and the nature of the clarification requested. The Architect's response to such requests shall be made in writing within any time limits agreed upon, or otherwise with reasonable promptness. If appropriate, the Architect shall prepare and issue supplemental Drawings and Specifications in response to the requests for information.

...

**§ 3.6.5.1** The Architect may order minor changes in the Work that are consistent with the intent of the Contract Documents and do not involve an adjustment in the Contract Sum or an extension of the Contract Time. Subject to Section 4.2, the Architect shall prepare Change Orders and Construction Change Directives for the Owner's approval and execution in accordance with the Contract Documents. Preparing drawings, specifications and other documentation and supporting data, evaluating the Contractor's proposals, and providing other services in connection with Change Orders and Construction Change Directives, is a Basic Service under this Agreement unless otherwise agreed to in writing by the Owner/Owner's Authorized Representative.

**PAGE 9**

**§ 3.6.6.6** The Architect and its consultants as a Basic Service shall prepare record documents upon completion of the Work based on marked-up prints, drawings and other data furnished by the Contractor to the Architect, which reflect the changes which have occurred during construction. The documents shall be promptly transmitted to the Owner

**§ 4.1 Supplemental Services Intentionally Deleted**

**§ 4.1.1** ~~The services listed below are not included in Basic Services but may be required for the Project. The Architect shall provide the listed Supplemental Services only if specifically designated in the table below as the Architect's responsibility, and the Owner shall compensate the Architect as provided in Section 11.2. Unless otherwise specifically addressed in this Agreement, if neither the Owner nor the Architect is designated, the parties agree that the listed Supplemental Service is not being provided for the Project.~~

*(Designate the Architect's Supplemental Services and the Owner's Supplemental Services required for the Project by indicating whether the Architect or Owner shall be responsible for providing the identified Supplemental Service. Insert a description of the Supplemental Services in Section 4.1.2 below or attach the description of services as an exhibit to this Agreement.)*

<b>Supplemental Services</b>	<b>Responsibility</b> <i>(Architect, Owner, or not provided)</i>
<del>§ 4.1.1.1 Programming</del>	
<del>§ 4.1.1.2 Multiple preliminary designs</del>	
<del>§ 4.1.1.3 Measured drawings</del>	
<del>§ 4.1.1.4 Existing facilities surveys</del>	
<del>§ 4.1.1.5 Site evaluation and planning</del>	
<del>§ 4.1.1.6 Building Information Model management responsibilities</del>	
<del>§ 4.1.1.7 Development of Building Information Models for post construction use</del>	
<del>§ 4.1.1.8 Civil engineering</del>	
<del>§ 4.1.1.9 Landscape design</del>	

<b>Supplemental Services</b>	<b>Responsibility</b> <i>(Architect, Owner, or not provided)</i>
§ 4.1.1.10 Architectural interior design	
§ 4.1.1.11 Value analysis	
§ 4.1.1.12 Detailed cost estimating beyond that required in Section 6.3	
§ 4.1.1.13 On-site project representation	
§ 4.1.1.14 Conformed documents for construction	
§ 4.1.1.15 As-designed record drawings	
§ 4.1.1.16 As-constructed record drawings	
§ 4.1.1.17 Post-occupancy evaluation	
§ 4.1.1.18 Facility support services	
§ 4.1.1.19 Tenant-related services	
§ 4.1.1.20 Architect's coordination of the Owner's consultants	
§ 4.1.1.21 Telecommunications/data design	
§ 4.1.1.22 Security evaluation and planning	
§ 4.1.1.23 Commissioning	
§ 4.1.1.24 Sustainable Project Services pursuant to Section 4.1.3	
§ 4.1.1.25 Fast-track design services	
§ 4.1.1.26 Multiple bid packages	
§ 4.1.1.27 Historic preservation	
§ 4.1.1.28 Furniture, furnishings, and equipment design	
§ 4.1.1.29 Other services provided by specialty Consultants	
§ 4.1.1.30 Other Supplemental Services	

**§ 4.1.2 Description of Supplemental Services**

**§ 4.1.2.1** A description of each Supplemental Service identified in Section 4.1.1 as the Architect's responsibility is provided below:

*(Describe in detail the Architect's Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit. The AIA publishes a number of Standard Form of Architect's Services documents that can be included as an exhibit to describe the Architect's Supplemental Services.)*

**§ 4.1.2.2** A description of each Supplemental Service identified in Section 4.1.1 as the Owner's responsibility is provided below:

*(Describe in detail the Owner's Supplemental Services identified in Section 4.1.1 or, if set forth in an exhibit, identify the exhibit.)*

**§ 4.1.3** If the Owner identified a Sustainable Objective in Article 1, the Architect shall provide, as a Supplemental Service, the Sustainability Services required in AIA Document E204™ 2017, Sustainable Projects Exhibit, attached to this Agreement. The Owner shall compensate the Architect as provided in Section 11.2.

- .10 Consultation concerning replacement of Work resulting from fire or other cause during construction;  
or,
- .11 Assistance to the Initial Decision Maker, if other than the Architect.

§ 4.2.2 To avoid delay in the Construction Phase, the Architect shall provide the following Additional Services, notify the Owner with reasonable promptness, and explain the facts and circumstances giving rise to the need. If, upon receipt of the Architect's notice, the Owner determines that all or parts of the services are not required, the Owner shall give prompt written notice to the Architect of the Owner's determination. The Owner shall compensate the Architect for the services provided prior to the Architect's receipt of the Owner's notice.

- .1 Reviewing a Contractor's submittal out of sequence from the submittal schedule approved by the Architect;
- .2 Responding to the Contractor's requests for information that are not prepared in accordance with the Contract Documents or where such information is available to the Contractor from a careful study and comparison of the Contract Documents, field conditions, other Owner-provided information, Contractor-prepared coordination drawings, or prior Project correspondence or documentation;
- .3 Preparing Change Orders and Construction Change Directives that require evaluation of Contractor's proposals and supporting data, or the preparation or revision of Instruments of Service;
- .4 Evaluating an extensive number of Claims as the Initial Decision Maker; or,
- .5 Evaluating substitutions proposed by the Owner or Contractor and making subsequent revisions to Instruments of Service resulting therefrom.

~~Intentionally Deleted~~ § 4.2.3 ~~Intentionally Deleted~~ § 4.2.4 ~~Intentionally Deleted~~ pt

§ 4.2.3 The Architect shall provide Construction Phase Services exceeding the limits set forth below as Additional Services. When the limits below are reached, the Architect shall notify the Owner:

- .1 ( ) reviews of each Shop Drawing, Product Data item, sample and similar submittals of the Contractor
- .2 ( ) visits to the site by the Architect during construction
- .3 ( ) inspections for any portion of the Work to determine whether such portion of the Work is substantially complete in accordance with the requirements of the Contract Documents
- .4 ( ) inspections for any portion of the Work to determine final completion.

§ 4.2.4 Except for services required under Section 3.6.6.5 and those services that do not exceed the limits set forth in Section 4.2.3, Construction Phase Services provided more than 60 days after (1) the date of Substantial Completion of the Work or (2) the initial date of Substantial Completion identified in the agreement between the Owner and Contractor, whichever is earlier, shall be compensated as Additional Services to the extent the Architect incurs additional cost in providing those Construction Phase Services.

§ 4.2.5 If the services covered by this Agreement have not been completed within ( ) months of the date of this Agreement, through no fault of the Architect, extension of the Architect's services beyond that time shall be compensated as Additional Services. ~~Intentionally Deleted.~~

...

§ 5.6 The Owner shall provide the Supplemental Services designated as the Owner's responsibility in Section 4.1.1. ~~Intentionally Deleted~~

§ 5.7 If the Owner identified a Sustainable Objective in Article 1, the Owner shall fulfill its responsibilities as required in AIA Document E204™ 2017, Sustainable Projects Exhibit, attached to this Agreement. ~~Intentionally Deleted~~

PAGE 11

§ 5.15 Within 15 days after receipt of a written request from the Architect, the Owner shall furnish the requested information as necessary and relevant for the Architect to evaluate, give notice of, or enforce lien rights. ~~Intentionally Deleted~~

...

§ 6.1 For purposes of this Agreement, the Cost of the Work shall be the total cost to the Owner to construct all elements of the Project designed or specified by the Architect and shall include contractors' general conditions costs,

overhead and profit. The Cost of the Work also includes the reasonable value of labor, materials, and equipment, donated to, or otherwise furnished by, the Owner. The Cost of the Work does not include the compensation of the Architect; the costs of the land, rights-of-way, financing, or contingencies for changes in the Work; or other costs that are the responsibility of the Owner.

...

**§ 6.3** ~~In preparing estimates of the Cost of Work,~~

~~A fixed limit of the Cost of the Work shall be established as a condition of this Agreement by the establishment of a Project Budget. When such a fixed limit has been established, the Architect shall be permitted to include contingencies for design, bidding, and price escalation; to determine what materials, equipment, component systems, and types of construction are to be included in the Contract Documents; to recommend reasonable adjustments in the program and scope of the Project; and to include design alternates as may be necessary to adjust the estimated Cost of the Work to meet the Owner's budget. The Architect's estimate of the Cost of the Work shall be based on current area, volume or similar conceptual estimating techniques. If the Owner requires a detailed estimate of the Cost of the Work, the Architect shall provide such an estimate, if identified as the Architect's responsibility in Section 4.1.1, as a Supplemental Service. techniques at a level of detail satisfactory to the Owner, which when approved by the Owner, shall be incorporated into a Project Budget approved by the Owner.~~

**§ 6.4** If, through no fault of the Architect, the Procurement Phase has not commenced within 90 days after the Architect submits the Construction Documents to the Owner, the Owner's budget for the Cost of the Work shall be adjusted to reflect changes in the general level of prices in the applicable construction market. market between the date of submission of the Construction Documents to the Owner and the date on which the Bidding or Negotiation Phase commenced.

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**§ 6.7** If the Owner chooses to proceed under Section 6.6.4, the Architect shall modify the Construction Documents as necessary to comply with the Owner's budget for the Cost of the Work at the conclusion of the Construction Documents Phase Services, or the budget as adjusted under Section 6.6.1. ~~If the Owner requires the Architect to modify the Construction Documents because the lowest bona fide bid or negotiated proposal exceeds the Owner's budget for the Cost of the Work due to market conditions the Architect could not reasonably anticipate, the Owner shall compensate the Architect for the modifications as an Additional Service pursuant to Section 11.3; otherwise the Architect's services for modifying the Construction Documents shall be without additional compensation. In any event, the Architect's modification of the Construction Documents shall be the limit of the Architect's responsibility under this Article 6.~~

...

**§ 7.2** ~~The Architect and the Architect's consultants shall be deemed the authors and owners of their respective Instruments of Service, including the Drawings and Specifications, and shall retain all common law, statutory and other reserved rights, including copyrights. Submission or distribution of Instruments of Service to meet official regulatory requirements or for similar purposes in connection with the Project is not to be construed as publication in derogation of the reserved rights of the Architect and the Architect's consultants.~~

Drawings, Specifications and other documents prepared by the Architect and the Architect's consultants for this Project, including electronic media, are the property of the Owner, and the Architect may not use these documents for any purpose not relating to the Project without the Owner's consent. The Owner shall be furnished with such reproductions of Drawings, Specifications and other documents as Owner may reasonably require. Upon completion of the Work or any earlier termination of the Agreement, Architect will revise the Drawings to reflect changes made during construction and will promptly furnish the Owner with one complete set of reproducible record prints. Other prints shall be furnished at any other time requested by the Owner. All such CAD electronic data files and reproductions are the property of the Owner which may use them without Architect's permission for any purpose relating to the Project, including, but not limited to, additions, or modifications to, or completion of the Project or submission or distribution of documents by the Owner to meet official regulatory requirements.

**§ 7.3** ~~The Architect grants to the Owner a nonexclusive license to use the Architect's Instruments of Service solely and exclusively for purposes of constructing, using, maintaining, altering and adding to the Project, provided that the Owner substantially performs its obligations under this Agreement, including prompt payment of all sums due pursuant to Article 9 and Article 11. The Architect shall obtain similar nonexclusive licenses from the Architect's~~



consultants consistent with this Agreement. The license granted under this section permits the Owner to authorize the Contractor, Subcontractors, Sub-subcontractors, and suppliers, as well as the Owner's consultants and separate contractors, to reproduce applicable portions of the Instruments of Service, subject to any protocols established pursuant to Section 1.3, solely and exclusively for use in performing services or construction for the Project. If the Architect rightfully terminates this Agreement for cause as provided in Section 9.4, the license granted in this Section 7.3 shall terminate.

Notwithstanding the terms of Section 7.2, the Architect shall retain full rights to reuse component information contained in the documents in the normal course of business.

~~§ 7.3.1 In the event the Owner uses the Instruments of Service without retaining the authors of the Instruments of Service, the Owner releases the Architect and Architect's consultant(s) from all claims and causes of action arising from such uses. The Owner, to the extent permitted by law, further agrees to indemnify and hold harmless the Architect and its consultants from all costs and expenses, including the cost of defense, related to claims and causes of action asserted by any third person or entity to the extent such costs and expenses arise from the Owner's use of the Instruments of Service under this Section 7.3.1. The terms of this Section 7.3.1 shall not apply if the Owner rightfully terminates this Agreement for cause under Section 9.4.~~

Intentionally Deleted

~~§ 7.4 Except for the licenses granted in this Article 7, no other license or right shall be deemed granted or implied under this Agreement. The Owner shall not assign, delegate, sublicense, pledge or otherwise transfer any license granted herein to another party without the prior written agreement of the Architect. Any unauthorized use of the Instruments of Service shall be at the Owner's sole risk and without liability to the Architect and the Architect's consultants.~~Intentionally Deleted

~~§ 7.5 Except as otherwise stated in Section 7.3, the~~The provisions of this Article 7 shall survive the termination of this Agreement.

...

~~§ 8.1.1 The Owner and Architect shall commence all claims and causes of action against the other and arising out of or related to this Agreement, whether in contract, tort, or otherwise, in accordance with the requirements of the binding dispute resolution method selected in this Agreement and within the period specified by applicable law, but in any case not more than 10 years after the date of Substantial Completion of the Work. The Owner and Architect waive all claims and causes of action not commenced in accordance with this Section 8.1.1.~~

The Architect agrees to defend, indemnify, and hold harmless HHS, its officers, agents, volunteers and employees from any liability, claims, causes of action, judgments, damages, losses, costs or expenses including reasonable attorney fees, resulting in whole or in part from any negligent or willful act or omission of the Architect, its consultants, anyone directly or indirectly employed by them, and/or anyone for whose acts and/or omissions they may be liable in the performance of the services required by this Agreement, and against all loss by reason of the failure of said Architect to perform all obligations under this Agreement. Notwithstanding the foregoing, to the extent damages are covered by property insurance, the Owner and Architect waive all rights against each other and against the contractors, consultants, agents and employees of the other for damages, except such rights as they may have to the proceeds of such insurance as set forth in the modified AIA Document A201-2017, General Conditions of the Contract for Construction, as modified by the Owner. The Owner or the Architect, as appropriate, shall require of the contractors, consultants, agents and employees of any of them similar waivers in favor of the other parties enumerated herein. Nothing herein is intended to impose an obligation on the Architect that is void an unenforceable under Minnesota Statutes Section 604.21.

~~§ 8.1.2 To the extent damages are covered by property insurance, the Owner and Architect waive all rights against each other and against the contractors, consultants, agents, and employees of the other for damages, except such rights as they may have to the proceeds of such insurance as set forth in AIA Document A201-2017, General Conditions of the Contract for Construction. The Owner or the Architect, as appropriate, shall require of the contractors, consultants, agents, and employees of any of them, similar waivers in favor of the other parties enumerated herein.~~As between the Owner and the Architect and its insurer as to all acts or failures to act by any party, the time limitation for the commencement of any action shall be three (3) years and shall commence to run and any alleged cause of action shall be deemed to have accrued in any and all events on the date of issuance of the Final Project Certificate for Payment; or in the event that there is a termination of this Agreement prior to completion of the Project and no Final Project Certificate for Payment is issued, on the date of termination of this Agreement; or on the date of the act or failure to

act; or on the date of discovery by the injured party of the act or failure to act; whichever is later. However, in no event may an action be brought more than twelve (12) years after the date of issuance of the Final Project Certificate for Payment, or in the event that there is a termination of this Agreement prior to completion of the Project and no Final Project Certificate for Payment is issued, the date of termination of this Agreement.

§ 8.1.3 The Architect and Owner waive consequential damages for claims, disputes, or other matters in question, arising out of or relating to this Agreement. This mutual waiver is applicable, without limitation, to all consequential damages due to either party's termination of this Agreement, except as specifically provided in Section 9.7. Intentionally Deleted

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§ 8.2.1 ~~Any claim, dispute or other matter in question arising out of or related to this Agreement shall be subject to mediation as a condition precedent to binding dispute resolution. If such matter relates to or is the subject of a lien arising out of the Architect's services, the Architect may proceed in accordance with applicable law to comply with the lien notice or filing deadlines prior to resolution of the matter by mediation or by binding dispute resolution.~~ Intentionally Deleted

§ 8.2.2 The Owner and Architect shall endeavor to resolve claims, disputes and other matters in question between them by mediation, which, unless the parties mutually agree otherwise, shall be administered by the American Arbitration Association in accordance with its Construction Industry Mediation Procedures in effect on the date of this Agreement. A request for mediation shall be made in writing, delivered to the other party to this Agreement, and filed with the person or entity administering the mediation. ~~The request may be made concurrently with the filing of a complaint or other appropriate demand for binding dispute resolution but, in such event, mediation shall proceed in advance of binding dispute resolution proceedings, which shall be stayed pending mediation for a period of 60 days from the date of filing, unless stayed for a longer period by agreement of the parties or court order. If an arbitration proceeding is stayed pursuant to this section, the parties may nonetheless proceed to the selection of the arbitrator(s) and agree upon a schedule for later proceedings.~~

...

*(Check the appropriate box.)*

...

Litigation in a court of competent jurisdiction

...

§ 8.3.1.1 A demand for arbitration shall be made no earlier than concurrently with the filing of a request for mediation, but in no event shall it be made after the date when the institution of legal or equitable proceedings based on the claim, dispute or other matter in question would be barred by the applicable statute of limitations. commencement of litigation. For statute of limitations purposes, receipt of a written demand for arbitration by the person or entity administering the arbitration shall constitute the institution of legal or equitable proceedings based on the claim, dispute or other matter in question.

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§ 9.1 If the Owner fails to make payments to the Architect in accordance with this Agreement, such failure shall be considered substantial nonperformance and cause for termination or, at the Architect's option, cause for suspension of performance of services under this Agreement. If the Architect elects to suspend services, the Architect shall give seven days' written notice to the Owner before suspending services. In the event of a suspension of services, the Architect shall have no liability to the Owner for delay or damage caused the Owner because of such suspension of services. Before resuming services, the Owner shall pay the Architect all sums due prior to suspension and any expenses incurred in the interruption and resumption of the Architect's services. ~~The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.~~ suspension.

§ 9.2 If the Owner suspends the Project, for more than 30 consecutive days for reasons other than the fault of the Architect (or in the case of an epidemic, pandemic, declaration of emergency by the governor, declaration of local

emergency, or other order issued by the federal, state, or local unit of government, for more than 60 consecutive days), the Architect shall be compensated for services performed prior to notice of such suspension. When the Project is resumed, the Architect shall be compensated for expenses incurred in the interruption and resumption of the Architect's services. The Architect's fees for the remaining services and the time schedules shall be equitably adjusted.

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§ 9.7 In addition to any amounts paid under Section 9.6, if the Owner terminates this Agreement for its convenience pursuant to Section 9.5, or the Architect terminates this Agreement pursuant to Section 9.3, the Owner shall pay to the Architect the following fees:

*(Set forth below the amount of any termination or licensing fee, or the method for determining any termination or licensing fee.)*

.1— Termination Fee:

.2— Licensing Fee if the Owner intends to continue using the Architect's Instruments of Service:

the event of any termination under this Article 9, the Architect consents to Owner's selection of another architect of Owner's choice to assist the Owner in any way in completing the Project. Architect further agrees to cooperate and provide any information requested by Owner in connection with the completion of the Project and consents to and authorizes the making of any reasonable changes to the design of the Project by Owner and such other architect as Owner may appoint. Any services provided by Architect which are requested by Owner after termination shall be fairly compensated by Owner.

§ 9.8 Except as otherwise expressly provided herein, this Agreement shall terminate one year from the date of ~~Substantial Completion.~~Completion, provided that all Basic Services have been performed.

§ 9.9 The Owner's rights to use the Architect's Instruments of Service in the event of a termination of this Agreement are set forth in Article 7 ~~and Section 9.7.7.~~

...

§ 10.1 This Agreement shall be governed by the law of the place where the Project is located, ~~excluding that jurisdiction's choice of law rules. If the parties have selected arbitration as the method of binding dispute resolution, the Federal Arbitration Act shall govern Section 8.3.~~ laws of the State of Minnesota.

§ 10.2 Terms in this Agreement shall have the same meaning as those in the modified AIA Document A201-2017, General Conditions of the Contract for Construction.

...

§ 10.8.1 The receiving party may disclose "confidential" or "business proprietary" information after 7 days' notice to the other party, when required by law, arbitrator's order, or court order, including a subpoena or other form of compulsory legal process issued by a court or governmental entity, or to the extent such information is reasonably necessary for the receiving party to defend itself in any dispute. The receiving party may also disclose such information to its employees, consultants, or contractors in order to perform services or work solely and exclusively for the Project, provided those employees, consultants and contractors are subject to the restrictions on the disclosure and use of such information as set forth in this Section 10.8. To the extent that the terms in this Section 10.8 are inconsistent with the parties' obligations under Section 12.2, the terms in Section 12.2 shall govern.

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§ 10.10 The Architect shall select the means, method, and manner of performing the services herein. Nothing is intended or should be construed in any manner as creating or establishing the relationship of co-partners between the parties hereto or as constituting the Architect as the agent, representative, or employee of the Owner for any purpose or in any manner whatsoever. The Architect is to be and shall remain an independent consultant with respect to all

services performed under this Agreement. Any and all personnel of the Architect or other persons while engaged in the performance of any work or services required by the Architect under this Agreement shall have no contractual relationship with the Owner, and shall not be considered employees of the Owner. Any and all claims that may or might arise under the Unemployment Insurance Law or the Workers' Compensation Act of the State of Minnesota on behalf of said personnel, arising out of employment or alleged employment, including, without limitation, claims of discrimination against the Architect, its officers, agents, contractors, or employees shall in no way be the responsibility of the Owner. The Architect shall defend, indemnify, and hold the Owner, its officers, agents, and employees harmless from any and all such claims irrespective of any determination of any pertinent tribunal, agency, board, commission, or court. Such personnel or other persons shall neither require nor be entitled to any compensation, rights, or benefits of any kind whatsoever from the Owner, including, without limitation, tenure rights, medical and hospital care, sick and vacation leave, Workers' Compensation, Unemployment Insurance, disability, severance pay and PERA.

...

~~§ 11.2 For the Architect's Supplemental Services designated in Section 4.1.1 and for any Sustainability Services required pursuant to Section 4.1.3, the Owner shall compensate the Architect as follows:  
(Insert amount of, or basis for, compensation. If necessary, list specific services to which particular methods of compensation apply.)~~Intentionally Deleted

...

The Billable Rates listed in the Consultant Fee Submittal are the maximum hourly rates for the Architect's principals, employees, and professional consultants. These maximum hourly rates will not be increased for Additional Services. For HHS authorized Additional Services, the Architect's services rendered by its principals, employees, and project consultants shall be based on actual hours worked times the Billable Rates listed in the Consultant Fee Submittal, to the maximum not-to-exceed sum approved by HHS for Additional Services.

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The above payment schedule establishes the not-to-exceed limit for each phase of service, and the Architect agrees to furnish all necessary Basic Services for each phase of service for no more than the NTE Fee and the allowable reimbursable expenses allocated to such phase of service; however, unused funds from a completed phase of service may be carried forward to a subsequent phase of service, thus increasing, and modifying, the not-to-exceed fee limit for such phase.

The Billable Rates listed in the Consultant Fee Submittal are the maximum hourly rates of the Architect's principals, employees, and professional consultants. These maximum hourly rates will not increase for the term of this Agreement. For Basic Services of the Architect's consultant, compensation shall be a multiple of one (1.0) times the actual amounts billed to the Architect for such services

~~§ 11.6 When compensation identified in Section 11.1 is on a percentage basis, progress payments for each phase of Basic Services shall be calculated by multiplying the percentages identified in this Article by the Owner's most recent budget for the Cost of the Work. Compensation paid in previous progress payments shall not be adjusted based on subsequent updates to the Owner's budget for the Cost of the Work.~~Intentionally Deleted

~~§ 11.6.1 When compensation is on a percentage basis and any portions of the Project are deleted or otherwise not constructed, compensation for those portions of the Project shall be payable to the extent services are performed on those portions. The Architect shall be entitled to compensation in accordance with this Agreement for all services performed whether or not the Construction Phase is commenced.~~

~~§ 11.7 The hourly billing rates for services of the Architect and the Architect's consultants are set forth below. The rates shall be adjusted in accordance with the Architect's and Architect's consultants' normal review practices.  
(If applicable, attach an exhibit of hourly billing rates or insert them below.)~~

**Employee or Category**

**Rate (\$0.00)**

Intentionally Deleted

...

- .11 Registration fees and any other fees charged by the Certifying Authority or by other entities as necessary to achieve the Sustainable Objective; and,
- .12—Other similar Project-related expenditures.

§ 11.8.2 For Reimbursable Expenses the compensation shall be the expenses incurred by the Architect and the Architect’s consultants ~~plus percent (—%) of the expenses incurred with no markup.~~

§ 11.8.3 No payment for any of the aforementioned costs incurred by the Architect shall be reimbursed by the Owner if the work has not been previously and specifically authorized by the Owner, in writing. Architect compensation shall not include the expense of computer aided design and drafting equipment time when used in connection with the Project. Payments for all costs and fees incurred under terms of the Agreement shall be made to the Architect in accordance with the provisions hereof. Payment for work performed by approved consultants shall be the responsibility of the Architect.

11.8.4 The following are not reimbursable expenses: general and administrative personnel expense attributable to the general operation of the business. These non-reimbursable expenses would include, but are not limited to, management, secretarial, organization, advertising, entertainment, contributions and donations, attorney fees, labor relations, bad debts, and other business expenses not specifically identifiable and directly related to the Project. In addition, local telephone communications, electronic mail (including attachments), facsimiles, letters (including postage and handling), photocopies, office supplies (drafting paper, stationery, scratch pads, pens and so forth), office equipment (computers, computer software, chairs, tables and so forth), and other expenses not specifically identifiable and directly related to the Project are not reimbursable expenses.

§ 11.9 **Architect’s Insurance.** If the types and limits of coverage required in Section 2.5 are in addition to the types and limits the Architect normally maintains, the Owner shall pay the Architect for the additional costs incurred by the Architect for the additional coverages as set forth below:  
(Insert the additional coverages the Architect is required to obtain in order to satisfy the requirements set forth in Section 2.5, and for which the Owner shall reimburse the Architect.)

Intentional Deleted  
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§ 11.10.1.2 If a Sustainability Certification is part of the Sustainable Objective, an initial payment to the Architect of (\$ —) shall be made upon execution of this Agreement for registration fees and other fees payable to the Certifying Authority and necessary to achieve the Sustainability Certification. The Architect’s payments to the Certifying Authority shall be credited to the Owner’s account at the time the expense is incurred.

...

Unless otherwise agreed, payments for services shall be made monthly in proportion to services performed. ~~Payments are due and payable upon presentation of the Architect’s invoice. Amounts unpaid (—) days after the invoice date shall bear interest at the rate entered below, or in the absence thereof at the legal rate prevailing from time to time at the principal place of business of the Architect.~~  
*(Insert rate of monthly or annual interest agreed upon.)*

—%

§ 11.10.2.2 The Owner shall not withhold amounts from the Architect’s compensation to impose a penalty or liquidated damages on the Architect, or to offset sums requested by or paid to contractors for the cost of changes in the



~~Work, unless the Architect agrees or has been found liable for the amounts in a binding dispute resolution proceeding.~~  
~~Intentionally Deleted~~

**§ 11.10.2.3** Records of Reimbursable Expenses, expenses pertaining to Supplemental and Additional Services, and services performed on the basis of hourly rates shall be available to the Owner at mutually convenient times. The Architect agrees that the Owner, the State Auditor, Legislative Auditor, or any of their duly authorized representatives, at any time during normal business hours, and as often as they may reasonably deem necessary, shall have access to and the right to examine, audit, excerpt and transcribe any books, documents, papers, records, etc. which are pertinent and involve transactions relating to this Agreement. Such material must be retained for six (6) years by the Architect following the termination of this Agreement. Architect's accounting practices and procedures relevant to this Agreement shall also be subject to examination by any or all of the aforesaid persons as often as and during such time as aforesaid.

**§11.10.3** Payment. The Architect shall submit monthly invoices, no more often than once a month, for compensation of its obligations under this Agreement during the previous month. All invoices submitted for payment shall include, but not be limited to, the following detailed information:

1. Architect's Personnel Costs:
  - a. Name of employee
  - b. Employee title
  - c. Date of service
  - d. Description of work performed
  - e. Identify the phase of service or additional services or other
  - f. Actual time worked
  - g. Billable rate
  - h. Current amount claimed
  - i. Cumulative total paid per employee per Phase of Service or
  - j. Cumulative total paid by phase/additional services/other.
  - k. Cumulative total paid under this Agreement.
  
2. Sub-consultant Personnel Costs
  - a. Name of employee
  - b. Employee title
  - c. Date of service
  - d. Description of work performed
  - e. Identify the phase of service or additional services or other
  - f. Actual time worked
  - g. Billable rate
  - h. Current amount claimed
  - i. Cumulative total paid per employee per Phase of Service or
  - j. Cumulative total paid by phase/additional services/other.
  - k. Cumulative total paid under this Agreement.
  
3. Reimbursable Expenses: For approved expenses, itemized as provided in §11.8.1 herein.

**§11.10.4** Final Payment for Construction Phase of Service. Final payment due the Architect will be made by the Owner when all Basic Services have been performed and accepted HHS, including Other Basic Services as defined in §12.5 herein.

**§11.10.5** Payments Pursuant to Governing Law. All claims and payments shall be made in the manner prescribed on HHS purchase orders for payment of claims and/or invoices. All payments and claims are subject to the False Claims Act, Minnesota Statutes, Chapter 15C.

**Special terms and conditions that modify this Agreement are as follows: § 12.1 NON-DISCRIMINATION & AFFIRMATIVE ACTION**

In accordance with Hennepin County’s policies against discrimination, Architect agrees that it shall not exclude any person from full employment rights or participation in or the benefits of any program, service or activity on the grounds of race, color, creed, religion, age, sex, disability, marital status, sexual orientation, public assistance status; or national origin; and no person who is protected by applicable Federal or State laws against discrimination shall be otherwise subjected to discrimination.

- A. Affirmative Action Requirements. In accordance with Hennepin County Board Resolution and subject to the applicable exemptions, if any, in Paragraph B below, if this Agreement is for a sum over \$100,000 or is amended to exceed \$100,000, then the Architect shall abide by Hennepin County’s Affirmative Action requirements for contractors. Those requirements, for purposes of this Agreement, are consistent with those imposed for state contractors pursuant to Minnesota Statutes, sections 363A.36 to .37 and Minnesota Rules, parts 5000.3200 to 5000.3600.
- B. Exemptions. The Architect may be granted an exemption for one of the following reasons:
- (1) Contract is for emergency or life safety-related purchases;
  - (2) The Architect has no facilities and has no more than one employee operating within the geographic boundaries of Hennepin County;
  - (3) The Architect had an average of forty (40) or fewer full-time/benefit-earning employees during the twelve (12) months preceding the submission of the bid, request for proposal or execution of this Agreement; or
  - (4) A designee of Supply Chain Management grants an exemption.
- C. Compliance; Remedies. The architect shall demonstrate compliance by submitting and maintaining a workforce certificate from the Minnesota Department of Human Rights (MDHR), unless HHS, or Hennepin County provides for alternative certification. The Architect shall remain in compliance with all applicable requirements through the term of this Agreement. The Architect shall also provide all compliance documentation requested by the MDHR, HHS or by Hennepin County, and shall cooperate with all compliance activities, including but not limited to site visits. If the Architect fails to demonstrate good faith efforts to correct any identified Affirmative Action deficiencies or fails to submit requested reports or information required by HHS, Hennepin County or the MDHR, or has engaged in discriminatory practices, HHS may consider this a violation of this Agreement and may exercise any remedies available to it in law or in equity, including, but not limited to, cancellation or termination of this Agreement.

**§ 12.2 DATA PRACTICES**

The Architect and its consultants agree to abide by the provisions of the Minnesota Government Data Practices Act, Minnesota Statutes, Chapter 13, and all other applicable state and federal laws, rules, regulations, and orders relating to data privacy or confidentiality, and as any of the same may be amended. The architect and its consultants agree to defend, indemnify and hold harmless HHS, its officials, officers, agents, employees, and volunteers from any claims resulting from the Architect’s and its consultants’ unlawful disclosure and/or use of such protected data. The terms of this paragraph shall survive the cancellation or termination of this Agreement.

**§ 12.3 PAPER RECYCLING**

The Owner encourages the Architect to develop and implement an office paper and newsprint recycling program.

**§ 12.4 LEGAL NOTICES**

(Include other terms and conditions applicable to this Agreement.) Any notice or demand which must be given or made by a party hereto under the terms of this Agreement or any statute or ordinance shall be in writing and shall be sent registered or certified mail. Notices to the Architect shall be sent to its address as set forth in the opening paragraph of this Agreement. Notices to HHS shall be directed to the Supply Chain Management, 800 South 8<sup>th</sup> Street, BL.240, Minneapolis, Minnesota 55415.

## **§ 12.5 OTHER BASIC SERVICES**

**§ 12.5.1 General** – Any services described as Basic Services in this Agreement and/or its exhibits shall be considered Basic Services, including the following specified services.

**§ 12.5.2 Electronic Media** – The Architect and its consultants shall create all graphic documents for the project through the use of the electronic media AutoDesk, AutoCad latest release and shall furnish the Owner appropriate documents.

**§ 12.5.3 Record Documents** – The Architect and its consultants as a Basic Service shall prepare Record documents upon completion of the Work based on marked-up prints, drawings and other data furnished by the Contractor to the Architect, which reflect the changes which have occurred during construction. The documents shall be promptly transmitted to the Owner.

**§ 12.5.4 Change Orders/Change Directives** – Preparing drawings, specifications and other documentation and supporting data, evaluating contractors' proposals and providing other services in connection with change orders and construction change directives is a Basic Service under this Agreement unless otherwise agreed to in writing by the Owner/Owner's Authorized Representative.

**§ 12.5.5 Civil Engineering** – Unless otherwise advised, civil Engineering and other similar services are incorporated into Basic Services as provided in paragraph 3.1 Work under this section is not limited to the site property boundaries.

**§ 12.5.6 Furniture, Fixtures and Equipment** – The Architect and its consultants as a Basic Service shall provide design services related to furniture, fixtures and equipment required for the project.

## **§ 12.6 SMALL BUSINESS ENTERPRISE PROGRAM**

**§ 12.6.1 SBE PROGRAM REQUIREMENTS** HHS is committed to providing equal opportunity in contracting and to a goal of increased participation of SBE firms in contracting and subcontracting. The Architect is required to meet the following specific SBE/SMBE/SWBE (hereinafter referred to as "SBE") participation goal(s):

**SMBE Goal: 30%**

Small Business Enterprise (SBE): means a CERT-certified small business.

Small Minority Business Enterprise (SMBE): means a CERT-certified small minority-owned business. Minority-owned businesses include male and female owned firms owned by a person, or a majority of persons, who is/are African American, Hispanic, Asian, or Native American, as classified by CERT.

Small Women Business Enterprise (SWBE): means a CERT-certified small women-owned business. Women-owned firms are firms owned by a woman, or majority of women, who is/are White/Caucasian, as classified by CERT.

The following conditions apply in determining the Architect's compliance with this goal:

.1 The total payments made under a contract or subcontract to certified SBEs will be counted toward the applicable goal.

.2 In the case of a joint venture, that portion of the payments attributable to the participation and control of the SBE partner in the joint venture will be counted toward the applicable goal.

.3 Only payments to SBEs that perform a commercially useful function in the work of a contract or subcontract may be counted toward the small business utilization goals. SBEs are considered to perform a commercially useful function when they are responsible for execution of work directly applicable to the project and carry out their responsibilities by actually supplying labor or material to, or managing or supervising, the work involved.

.4 Total payments for materials, supplies, and reimbursable expenses may be counted toward SBE participation goals if the SBE firm assumes the actual and contractual responsibility for providing the materials and supplies.

.5 The Architect's commitment to a specified participation level is to meet the required participation levels, and is not intended and shall not be used to discriminate against any qualified company or group of companies.

## **§ 12.6.2 PARTICIPATION**

§ 12.6.2.1 The Architect understands that the SBE participation goal must be maintained throughout the life of its Contract.

§ 12.6.2.2 The Architect and all tiers of subconsultants shall establish and maintain records and submit regular reports, as required hereunder.

§ 12.6.2.3 The current list of CERT-certified firms can be accessed at: [www.cert.smwbe.com](http://www.cert.smwbe.com) Under "Vendor Certification" click on "Central CERT Directory" to search the database for certified firms.

## **§ 12.6.4 GOOD FAITH EFFORTS DURING ARCHITECT'S PERFORMANCE**

§ 12.6.4.1 If Architect fails to maintain the SBE participation goal during the performance of the contract and cannot demonstrate good-faith efforts to meet the goal in accordance with the following guidelines, the Architect may, at the HHS's sole option, be deemed to be in breach of contract and subject to sanctions. The following are good faith efforts guidelines.

.1 Verification that the Architect entered into a contract with the SBE firm(s) to perform work on the project.

.2 Verification that, consistent with professional standards, the Architect maintained communication with the SBE firm to insure that the SBE firm understood when to begin work and was available to fulfill its contractual agreement.

.3 Statement giving the reason(s) why the subconsultant contracted with to meet the SBE utilization goal did not fulfill its contractual agreement.

.4 Verification of efforts to replace a non-performing SBE firm by written notice to all other SBE firms listed in the CERT directory within the applicable industry category(s).

§ 12.6.4.2 HHS recommends the Architect include provisions in its agreement(s) with its subconsultant(s) that obligate such subconsultant to submit all required payment information to HHS as may be required.

## **§ 12.6.5 SANCTIONS**

If the SBE participation goal is not met after contract award/approval, HHS will require the Architect to demonstrate that it made good-faith efforts to meet the goal. If HHS determines the Architect failed to demonstrate good-faith efforts, and/or if the Architect failed to submit information required by PCS, and/or the Architect engaged in discriminatory practices, HHS may, in its sole discretion, suspend, cancel, or terminate, in whole or in part, this contract. Additionally, HHS may, in its sole discretion, withhold up to five percent (5.0%) of the contract price until such time as the Architect is determined to have submitted the required reports and/or information, and/or demonstrates good-faith efforts to meet the goal, as determined by HHS.

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- .1 AIA Document modified B101™–2017, Standard Form Agreement Between Owner and Architect
- .2 AIA Document E203™–2013, Building Information Modeling and Digital Data Exhibit, dated as indicated below:  
*(Insert the date of the E203–2013 incorporated into this agreement.)*

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Reserved

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Reserved





## **Certification of Document's Authenticity**

**AIA® Document D401™ – 2003**

I, David Flowers, hereby certify, to the best of my knowledge, information and belief, that I created the attached final document simultaneously with its associated Additions and Deletions Report and this certification at 15:54:39 ET on 05/25/2021 under Order No. 4296916948 from AIA Contract Documents software and that in preparing the attached final document I made no changes to the original text of AIA® Document B101™ – 2017, Standard Form of Agreement Between Owner and Architect, as published by the AIA in its software, other than those additions and deletions shown in the associated Additions and Deletions Report.

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*(Signed)*

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*(Title)*

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*(Dated)*